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SECURITIES AND FUTURES ACT (CHAPTER 289)

SECURITIES AND FUTURES (FINANCIAL AND MARGIN REQUIREMENTS FOR HOLDERS OF CAPITAL MARKETS SERVICES LICENCES) (AMENDMENT) REGULATIONS 2016

In exercise of the powers conferred by sections 100 and 341 of the Securities and Futures Act, the Monetary Authority of Singapore makes the following Regulations:

Citation and commencement

1. These Regulations are the Securities and Futures (Financial and Margin Requirements for Holders of Capital Markets Services Licences) (Amendment) Regulations 2016 and come into operation on 1 November 2016.

Amendment of First Schedule

2. The table in the First Schedule to the Securities and Futures (Financial and Margin Requirements for Holders of Capital Markets Services Licences) Regulations (Rg 13) is amended —

- (a) by deleting the word "or" at the end of item (1)(d)(ii);
- (b) by deleting the word "and" at the end of item (1)(e)(ii);
- (c) by deleting the full-stop at the end of sub-paragraph (iii) of item (1)(e) and substituting the word "; and", and by inserting immediately thereafter the following sub-paragraph:
 - "(iv) enters into one or more transactions with any customer to deal in securities as principal; or";