

# **Financial Advisers (Exemption from Requirement to Hold Representative's Licence) Regulations**

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## **FINANCIAL ADVISERS (EXEMPTION FROM REQUIREMENT TO HOLD REPRESENTATIVE'S LICENCE) REGULATIONS**

**Rg 5**

**G.N. No. S 12/2004**

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### **Citation**

**1.** These Regulations may be cited as the Financial Advisers (Exemption from Requirement to Hold Representative's Licence) Regulations.

### **Exemption**

**2.—(1)** Subject to paragraph (3), a person who has —

- (a)** commenced to act as a representative of a licensed financial adviser on the business day immediately following the day on which he ceased to act as a representative of an exempt financial adviser; and