

Securities and Futures (Exemption from Requirement to Hold Representative's Licence) Regulations

Table of Contents

1 Citation

2 Exemption

Legislative History

SECURITIES AND FUTURES ACT (CHAPTER 289, SECTION 83(2)(b)¹)

¹ with effect from the commencement of the Securities and Futures (Amendmen) Act 2003 (Act 16/2003), exemptions will no longer be made by regulations but by notice in writing.

SECURITIES AND FUTURES (EXEMPTION FROM REQUIREMENT TO HOLD REPRESENTATIVE'S LICENCE) REGULATIONS

Rg 14

G.N. No. S 269/2003

REVISED EDITION 2004

(29th February 2004)

[29th February 2004]

Citation

1. These Regulations may be cited as the Securities and Futures (Exemption from Requirement to Hold Representative's Licence) Regulations.

Exemption

2.—(1) Any person, who acts as a representative of a person specified in the Third Schedule to the Act (other than a person specified in regulation 8(1) of that