# **Securities and Futures (Exemption from Securities Hawking Prohibition) Regulations**

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**Legislative History** 

## SECURITIES AND FUTURES ACT (CHAPTER 289, SECTIONS 309(3) AND 341)

## SECURITIES AND FUTURES (EXEMPTION FROM SECURITIES HAWKING PROHIBITION) REGULATIONS

Rg 16

G.N. No. S 539/2003

#### **REVISED EDITION 2004**

(29th February 2004)

[22nd December 2003]

PDF created date on: 27 Feb 2022

### Citation

1. These Regulations may be cited as the Securities and Futures (Exemption from Securities Hawking Prohibition) Regulations.

### **Exemption**

- **2.** Section 309(1) of the Act shall not apply to a person when making an offer to another person of
  - (a) units in a collective investment scheme authorised under section 286 of the Act; or