



Empowered lives. Resilient nations.

# NON-COMMUNICABLE DISEASE PREVENTION AND CONTROL: A GUIDANCE NOTE FOR INVESTMENT CASES

2019

UN INTERAGENCY TASK FORCE ON NCDs

#beatNCDs



WHO/NMH/NMA/18.97

#### © World Health Organization and United Nations Development Programme 2019

All rights reserved.

The designations employed and the presentation of the material in this publication do not imply the expression of any opinion whatsoever on the part of WHO or UNDP concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. Dotted and dashed lines on maps represent approximate border lines for which there may not yet be full agreement.

The mention of specific companies or of certain manufacturers' products does not imply that they are endorsed or recommended by WHO or UNDP in preference to others of a similar nature that are not mentioned. Errors and omissions excepted, the names of proprietary products are distinguished by initial capital letters.

All reasonable precautions have been taken by WHO and UNDP to verify the information contained in this publication. However, the published material is being distributed without warranty of any kind, either expressed or implied. The responsibility for the interpretation and use of the material lies with the reader. In no event shall WHO or UNDP be liable for damages arising from its use.

This publication does not necessarily represent the decisions or the policies of WHO or UNDP.

## Contents

ACKNOWLEDGEMENTS	
ABBREVIATIONS	V
PREFACE	vi
1. INTRODUCTION	1
1.1 What are NCD investment cases?	1
1.2 Audience and purpose	2
1.3 Overview of the investment case process	2
1.4 Accounting framework	4
2. STEPS IN DEVELOPING AN NCD INVESTMENT CASE	7
2.1 Economic burden analysis	7
2.2 The costs of implementing actions to prevent and control NCDs	
2.3 Health and economic benefits of implementing a set of interventions	
2.4 Return on investment	
2.5 Institutional and context analysis (summary)	
REFERENCES AND RESOURCES	
ANNEX	

#### ACKNOWLEDGEMENTS

Development of this Guidance Note was led by a joint World Health Organization (WHO) and United Nations Development Programme (UNDP) team consisting of Nick Banatvala, Melanie Bertram, Alexey Kulikov, Jeremy Lauer, Dudley Tarlton and Douglas Webb.

The principal authors were James Murray and Mark Bloch.

Additional contributors to the Guidance Note were as follows: Delia Itziar Belausteguigoitia and Rosa Sandoval (PAHO/WHO); Jill Farrington, Gauden Galea, Mark Goodchild, Christoph Hamelmann, Odd Hanssen, and Jeremias Paul (WHO); Andrew Black (Secretariat of the WHO Framework Convention for Tobacco Control); Julia Mensah and Patrick Lumumba Osewe (World Bank); Lika Gamgebeli, Ben Slay and Roy Small (UNDP); Evan Blecher (University of Illinois); Rotar Oxana (Federal Almazov North-West Medical Research Centre); Anna Kontsevaya (National Research Centre for Preventative Medicine of the Ministry of Healthcare of the Russian Federation); and Brian Hutchinson and Rachel Nugent (Research Triangle Institute International).

Development of this Guidance Note was financed in part through a voluntary contribution from the Government of the Russian Federation.

## **ABBREVIATIONS**

BCR	Benefit-cost ratio
CVD	Cardiovascular disease
FCM	Friction cost method
GDP	Gross domestic product
HCA	Human capital approach
ICA	Institutional and context analysis
LMICs	Low- and middle-income countries
NCDs	Non-communicable diseases
OHT	One Health Tool
PAF	Population attributable fraction
ROI	Return on investment
RR	Relative risk
UNDP	United Nations Development Programme
WHO	World Health Organization

#### PREFACE

Urgent action is needed to understand and mitigate the socioeconomic impacts of noncommunicable diseases (NCDs) – principally cardiovascular disease (CVD), diabetes, cancer, and chronic respiratory disease – across the world.<sup>1</sup> NCDs account for significant levels of ill health in all countries. Each year fifteen million people die prematurely – between the ages of 30 and 69 – from NCDs, with over 85 percent of these deaths occurring in low- and middle-income countries (LMICs) [1].

NCDs are driven by forces that include rapid unplanned urbanization, globalization of unhealthy behaviours and population ageing. There are four main modifiable behavioural risk factors for NCDs: tobacco use, physical inactivity, unhealthy diet and harmful use of alcohol. The metabolic risk factors that increase the risk of NCDs are raised blood pressure, overweight/obesity, hyperglycaemia (high blood glucose levels) and hyperlipidaemia (high levels of fat in the blood). Various forms of pollution and limited access to health services are also risk factors for NCDs.

Crucially, most premature NCD deaths and a substantial amount of morbidity from NCDs are preventable and avoidable. In 2017, the World Health Assembly endorsed a set of affordable, evidence-based interventions for the prevention and control of NCDs in all Member States (NCD 'best buy' interventions) [2]. These were first published in the 'WHO Global Action Plan for the Prevention and Control of Noncommunicable Diseases 2013-2020' [3] and updated in 2017 [4].

Addressing NCDs is integral to the 2030 Agenda for Sustainable Development [5]. Sustainable Development Goal (SDG) target 3.4 calls for a one-third reduction in premature mortality from NCDs by 2030. Many other SDG 3 targets are important for NCDs.<sup>2</sup> Achieving the NCD-related SDG 3 targets can deliver shared gains across the development agenda, given the multidirectional relationship between NCDs, poverty, inequalities, economic growth, climate action and other SDG goals and targets. Progress in these areas would benefit NCD responses in turn.

Responding to NCDs requires action across sectors. WHO and UNDP, as part of a larger UN system-wide response, support whole-of-government and whole-of-society NCD responses. WHO, in line with its thirteenth General Programme of Work, provides technical assistance to the health sector to map the epidemic, set national targets, develop multisectoral policies and plans, and enable health systems to respond. UNDP, in line with its Strategic Plan 2018-2021 [6] and HIV, Health and Development Strategy 2016-2021 [7], supports NCD action within and beyond the health sector, leveraging its work to keep people out of poverty, strengthen effective and inclusive governance, and build resilient and sustainable systems for health.

The negative effects that NCDs have on health comprise just one aspect of the problem. Evidence shows that NCDs can reduce productivity, curtail economic growth and trap those affected in poverty, thereby holding back individuals, families and countries from realizing their social and economic potential. For LMICs, the economic costs of inaction on NCDs are estimated to exceed US \$7 trillion between 2011 and 2025 [8]. At the household level, NCDs can exacerbate poverty, perpetuate intergenerational deprivation and reinforce gender inequities.

Governments are requesting the assistance of the United Nations (UN) and partners to quantify the national-level costs of treating NCDs, the costs of NCD burdens on the economy, the costs of interventions to prevent and control NCDs, and the return on investment (ROI) of those

<sup>&</sup>lt;sup>1</sup>According to June 2018 WHO figures, CVD accounts for the most NCD deaths globally, 17.9 million deaths annually, followed by cancers (9.0 million), respiratory diseases (3.9 million) and diabetes (1.6 million). These four groups of diseases together account for over 80 percent of all premature NCD deaths [1].

<sup>&</sup>lt;sup>2</sup>For example, targets 3.a on implementation of the World Health Organization Framework Convention on Tobacco Control (WHO FCTC), 3.5 on the harmful use of alcohol, 3.8 on universal health coverage, 3.9 on pollution and 3.b on access to medicines.

interventions. This is especially important for LMICs, which are contending simultaneously with a moderate to high burden of infectious diseases, including HIV, tuberculosis, malaria, and waterborne diseases, as well as malnutrition and maternal and perinatal conditions. Heads of State and Government or their representatives have committed to develop national NCD investment cases in the 2018 'Political declaration of the third high-level meeting of the General Assembly on the prevention and control of non-communicable diseases.'<sup>3</sup>

This Guidance Note is a collaboration between WHO and UNDP.<sup>4</sup> It includes experiences of an initial set of investment cases carried out in fourteen countries.<sup>5</sup> There are two components to an NCD investment case – an economic component and an institutional and context analysis (ICA). This Guidance Note focuses on how to undertake the economic component of NCD investment cases. The ICA is referred to and summarized in this Guidance Note, and a more detailed description of the ICA method is annexed.

Investment cases are part of a UNDP-WHO Joint Programme to catalyze multisectoral action in Member States to reduce the burden of NCDs. The Joint Programme is part of the larger work of the UN Interagency Task Force on the Prevention and Control of NCDs.<sup>6</sup>

预览已结束, 完整报告链接和二维码如下:



https://www.yunbaogao.cn/report/index/report?reportId=5 11798