Managing mining for sustainable development

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A SOURCEBOOK

UNDP Bangkok Regional Hub and Poverty-Environment Initiative Asia-Pacific of UNDP and UN Environment

April 2018





Empowered lives. Resilient nations.

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Authors:

Uyanga Gankhuyag and Fabrice Gregoire

Editing:

Andy Quan www.boldface.com.au

Design:

Minh Duong www.minhdesigns.com

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Foreword

Minerals and metals are essential materials for the functioning of modern societies and economies. Mining provides great economic opportunities for resource-rich countries. However, the process of mining creates challenges and risks for the well-being of people and the environment. A key challenge for these countries is to manage mining in a way that contributes to – and does not jeopardize – sustainable development.

The management of mining at all stages, from exploration to mine closure requires serious consideration of social and environmental impacts. The legal and contractual frameworks that govern mining are often made with little consideration of environmental sustainability and the wellbeing of affected communities. The rights of local communities and indigenous people to have a say in decisions about mining projects are often not realized. Most countries have adopted rules on environmental and social impact assessment and mine closure, but the implementation of these rules is lagging behind.

Moreover, countries and communities often do not access the full economic benefits of mining. Governments may lack the capacity to estimate returns from mining and negotiate mining taxes. Even when they do collect fiscal revenues from mining, they face challenges with the volatility of these revenues and investing these resources into sustainable development. People and local businesses may not be able to take advantage of the job opportunities and business development potential that come from mining.

This sourcebook brings together existing knowledge, experiences and tools to help equip governments and communities in resourcerich countries and regions to manage mining in a way that is consistent with their aspirations for sustainable development. The premise of this sourcebook is that it is within the power of governments of resource-rich countries to protect people and the environment and to realize the benefits from mining, working alongside the mining industry and local communities.

Much of the available knowledge on social and environmental sustainability concerns related to mining is produced by and for the mining industry, while most available knowledge products for governments are focused on the economic governance of mining. By synthesizing knowledge on the environmental, social and economic aspects of mining in a way that is relevant for governments and communities in resource-rich countries and regions, this sourcebook fills an important gap.

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Nik Sekhran Director Sustainable Development UNDP

Abbreviations and Acronyms

AETR	Average Effective Tax Rate
AMD	Acid Mine Drainage
ASI	Aluminium Stewardship Initiative
BBOP	Business and Biodiversity Offsets
	Programme
BC	Bettercoal Code
BRH	Bangkok Regional Hub (of UNDP)
СВА	Cost-Benefit Analysis
CBD	Convention on Biological Diversity
СССМС	China Chamber of Commerce of Metals,
	Minerals and Chemicals Importers and
	Exporters
CCSI	Columbia Center on Sustainable
	Investment
CDA	Community Development Agreement
CEA	Cumulative Environmental Assessment
CFCs	Chlorofluorocarbons
CIA	Cumulative Impact Assessment
CIRDI	Canadian International Resources and
	Development Institute
CR	Corporate Responsibility
CSIRO	Commonwealth Scientific and Industrial
	Research Organization (Australia)

EPCM	Engineering, Procurement and
	Construction Management
ESIA	Environmental and Social Impact
	Assessment
FARI	Fiscal Analysis of Resource Industries
FDI	Foreign Direct Investment
FPIC	Free, Prior and Informed Consent
GATT	General Agreement on Tariffs and Trade
	(of WTO)
GDP	Gross Domestic Product
GHG	Greenhouse Gas
GIA	Gender Impact Assessment
HIA	Health Impact Assessment
HRIA	Human Rights Impact Assessment
IBA	Impact Benefit Agreement (Canada)
ICMC	International Cyanide Management Code
ICMM	International Council on Mining and Metals
IFC	International Finance Corporation
IGF	Intergovernmental Forum on Mining,
	Minerals, Metals and Sustainable
	Development
IIED	International Institute for Environment
	and Development

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