# MONITORING PLASTICS IN RIVERS AND LAKES

Guidelines for the Harmonization of Methodologies







# © 2020 United Nations Environment Programme

**ISBN No:** 978-92-807-3819-3

Job No: DEW/2317/NA

This publication may be reproduced in whole or in part and in any form for educational or non-profit services without special permission from the copyright holder, provided acknowledgement of the source is made. The United Nations Environment Programme (UNEP) would appreciate receiving a copy of any publication that uses this publication as a source.

No use of this publication may be made for resale or any other commercial purpose whatsoever without prior permission in writing from the UNEP. Applications for such permission, with a statement of the purpose and extent of the reproduction, should be addressed to the Director, Communications Division, United Nations Environment Programme (UNEP), P. O. Box 30552, Nairobi 00100, Kenya.

#### **Disclaimers**

The designations employed and the presentation of the material in this publication do not imply the expression of any opinion whatsoever on the part of the Secretariat of the United Nations concerning the legal status of any country, territory or city or area or its authorities, or concerning the delimitation of its frontiers or boundaries. For general guidance on matters relating to the use of maps in publications please go to <a href="http://www.un.org/Depts/Cartographic/english/htmain.htm">http://www.un.org/Depts/Cartographic/english/htmain.htm</a>

Mention of a commercial company or product in this document does not imply endorsement by the UNEP or the authors. The use of information from this document for publicity or advertising is not permitted. Trademark names and symbols are used in an editorial fashion with no intention on infringement of trademark or copyright laws.

The views expressed in this publication are those of the authors and do not necessarily reflect the views of the UNEP. We regret any inadvertent error or omissions.

The guidance and recommendations provided in this report are intended for use by competent bodies and their employees operating within the customs, norms and laws of their respective countries. UNEP, the report authors and report contributors do not accept any liability resulting from the use of these guidelines. Users are encouraged to follow appropriate health and safety provisions and adopt safe working practices for working in and around the rivers and lakes environment and in follow-up sample processing and analysis, especially, but not limited to: sampling from vessels on rivers and lakes, diving operations, shoreline sampling, observing and sampling biota, lone-working, sample processing in the field and laboratory, and sample characterization and analysis.

Cover photos: @ Shutterstock, other photos, maps and illustrations as specified.

### Suggested citation

United Nations Environment Programme (2020). *Monitoring Plastics in Rivers and Lakes: Guidelines for the Harmonization of Methodologies*. Nairobi.







UNEP promotes
environmentally sound
practices globally and
in its own activities. Our
distribution policy aims to reduce
UNEP's carbon footprint.

# MONITORING PLASTICS IN RIVERS AND LAKES

Guidelines for the Harmonization of Methodologies



# **Acknowledgements**

UNEP would like to thank the authors, reviewers and the Secretariat for their contribution to the preparation of this report. The authors and reviewers have contributed to the report in their individual capacities.

## **Authors**

Katrin Wendt-Potthoff, Helmholtz Centre for Environmental Research (UFZ), Magdeburg, Germany; Tamara Avellán, United Nations University – Institute for Integrated Management of Material Fluxes and of Resources (UNU-FLORES), Dresden, Germany; Tim van Emmerik, Hydrology and Quantitative Water Management Group, Wageningen University and Research, Wageningen, The Netherlands; Meike Hamester, UNU-FLORES, Dresden, Germany; Sabrina Kirschke, UNU-FLORES, Dresden, Germany; Danielle Kitover, T+I Consult, Geschäftsstelle Magdeburg, Magdeburg, Germany; Christian Schmidt, Helmholtz Centre for Environmental Research (UFZ), Leipzig, Germany

### **Reviewers**

Toyin A. Arowolo, Federal University of Agriculture, Abeokuta, Nigeria

Claus-Gerd Bannick, German Environment Agency, Berlin, Germany

Luisa F. Espinosa, Marine and Coastal Research Institute (INVEMAR), Santa Marta, Colombia

Christos Ioakeimidis and Tatjana Hema, Barcelona Convention Secretariat, Mediterranean Action Plan, UNEP, Athens, Greece Rezaul Karim, Islamic University of Technology, Dhaka, Bangladesh

Jan Linders and Manmohan Sarin, Group of Experts on the Scientific Aspects of Marine Environmental Protection (GESAMP)

Sherri A. "Sam" Mason, Penn State Behrend, Erie, Pennsylvania, United States of America

Javier Mateo-Sagasta and Ananya Shah, International Water Management Institute, Colombo, Sri Lanka

So Nam, Kongmeng Ly and Erinda Pubill Panen, Environmental Management Division, Mekong River Commission Secretariat, Vientiane, Lao People's Democratic Republic

Flemming Nielsen, UNEP, Juba, South Sudan

Yegor Volovik, Northwest Pacific Action Plan, Regional Coordinating Unit, UNEP, Toyama, Japan

# Participants in two virtual workshops held on 27 and 28 August 2019

Toyin A. Arowolo, Federal University of Agriculture, Abeokuta, Nigeria

Gabor Bordos, WESSLING Hungary Ltd., Budapest, Hungary

Luisa F. Espinosa, Marine and Coastal Research Institute (INVEMAR), Santa Marta, Colombia

Brett Gracely, University of California, Berkeley, United States of America

Akbar Tahir, Universitas Hasanuddin, Makassar, Indonesia

György István Tóth, Directorate General of Water Management, Budapest, Hungary

#### **Editor**

John Smith, International Publications Consultant, Austin, Texas, United States of America

# **Project Coordination Team**

Hartwig Kremer, Joana Akrofi, Kaisa Uusimaa, Anham Salyani, Heidi Savelli-Soderberg (UNEP, Ecosystems Division)

# **Publications and Knowledge Management Unit**

Angeline Djampou, Samuel Opiyo, Virginia Githari (UNEP, Science Division)

# **UNEP Gender and Safeguards Unit**

Janet Macharia, Susan Mutebi-Richards

# Financial support

The Norwegian Agency for Development Cooperation is gratefully acknowledged for providing the funding that made the production of this publication possible.

## Design, layout and printing

Publishing Services Section, United Nations Office Nairobi (UNON)



# **Contents**

ABB	REVIA	ATIONS AND ACRONYMS	vii		
LIST	OF F	IGURES	ix		
LIST	OF T	ABLES	. x		
LIST	OF B	OXES	¥		
EXE		'E SUMMARY			
1.	INTRODUCTION				
	1.1	Purpose	2		
	1.2	Plastic contamination in freshwater environments			
		1.2.1 From oceans to land			
		1.2.2 Macro- and microplastics			
		1.2.3 Reservoirs and lakes			
		1.2.4 Wastewater treatment plants			
		1.2.6 Freshwater plastic monitoring – learning from marine plastic monitoring and new challenges			
	1.3	Organisation and use of the report			
2.	OR II	ECTIVES AND SCOPE OF THE GUIDELINES	_		
۷.					
3.	DEFI	NITIONS AND TERMINOLOGY	. 6		
4.	DESIGNING MONITORING PROGRAMMES FOR FRESHWATER ENVIRONMENTS				
	4.1	Transferring from marine systems – similarities and differences between marine and freshwater systems	8		
	4.2	Developing a monitoring programme – how to start?	9		
	4.3	Choosing the optimal methods			
	4.4	Precautions against sample contamination	14		
5.	SAMPLING AND OBSERVATION				
	5.1	Sampling of rivers			
		5.1.1 Water surface and water column			
		5.1.2 River sediments			
	5.2	Sampling of lakes and reservoirs			
		5.2.1 Water surface and water column			
	<b>5</b> 0	5.2.2 Lake sediments			
	5.3	Sampling of lake shores and riverbanks			
	5.4 5.5	Sampling of drinking water			
	0.0	5.5.1 Sampling techniques for treated wastewater, raw sewage and sewage sludge			
		5.5.2 On-site sample processing			
		5.5.3 Sampling design and recommendations			
	5.6	Sampling of freshwater biota			
	0.0	5.6.1 Strategies for monitoring and assessment in biota			
		5.6.2 Preparation of specimens before polymer analysis			
		5.6.3 Plastic debris as a habitat in freshwater?			
6.	SAMPLE PREPARATION FOR DIFFERENT MATRICES (WATER, SEDIMENT, BIOTA)				
	6.1	Water samples	43		
	6.2	Sediment samples			
	6.3	Biota samples			
7	0414	DI E ANALYCIO, CITEC CHAREC DOLVMEN TYPEC ACCOCIATED CHEMICAL C	40		

8.	ASSE	SSING SOURCES, PATHWAYS AND CATEGORIES OF PLASTICS IN FRESHWATER BODIES	53		
	8.1	Categories of plastics in freshwater	53		
		8.1.1 Polymer types			
		8.1.2 Size classes	54		
		8.1.3 Categorization protocols	55		
	8.2	Linking plastic contamination to catchment attributes	56		
9.	RELATIONSHIP BETWEEN PLASTIC CONTAMINATION AND OTHER FORMS OF DISSOLVED AND PARTICULATE CONTAMINATION				
	9.1	Overview of contaminants	58		
	5.1	9.1.1 Organic contaminants			
		9.1.2 Inorganic contaminants			
		9.1.3 Nanocontamination			
		9.1.4 Pharmaceutical contamination			
	9.2	Plastics interaction with contaminants			
	3.2	9.2.1 Contaminants as non-polymerized free monomers			
		9.2.2 Additives as plastic contaminants			
		9.2.3 Contaminants as adsorbates to plastic			
		9.2.4 Contaminants mixed with plastic			
10.	STAKEHOLDER FEEDBACK ON EXISTING MONITORING AND ASSESSMENT ACTIVITIES IN FRESHWATER				
	SYST	TEMS	64		
	10.1	Stakeholder analysis			
	10.2				
	10.3				
		10.3.1 Source of contamination			
		10.3.2 International cause vs. local implementation			
		10.3.3 General relevance vs. prioritizing monitoring methods	70		
		10.3.4 A vicious cycle: from data to legal frameworks, or from legal frameworks to data?			
		10.3.5 Governance strategies			
		10.3.6 Summary of workshop feedback	71		
11.	SUMMARY OF RECOMMENDATIONS FOR MONITORING PLASTICS IN FRESHWATER				
	11.1	Designing a monitoring programme	72		
	11.2	Sampling	73		
	11.3	Analysis	73		
	11.4	Additional considerations			
		11.4.1 Explore possible advances in monitoring methods			
		11.4.2 Sampling after storm or flood events	74		
		11.4.3 Dams and reservoirs in plastic contamination and assessment			
		11.4.4 Plastics in the context of other forms of contamination			
	11.5	5			
		11.5.1 Data availability			
		11.5.2 Metadata			
		11.5.3 Units	75		
12.	POLI	CY RECOMMENDATIONS FOR INTERVENTION AND PREVENTION	76		
REF	EREN	DES	78		
ANN	IEX 1:	OSPAR SORTING PROTOCOL	91		
ANN	IEX 2:	SORTING PROTOCOL FOR NET SAMPLING	92		
ANN	IEX 3:	SCHONE RIVIEREN (CLEAN RIVERS) PROTOCOL	93		

# **Abbreviations and acronyms**

μATR-FTIR micro-Attenuated Total Refection-Fourier Transform Infrared (spectroscopy)

μFTIR micro-Fourier Transform Infrared (spectroscopy)

ABS Acrylonitrile butadiene styrene

Al Artificial intelligence

ATR Attenuated Total Reflection

AWI Alfred Wegener Institute, Helmholtz Centre for Polar and Marine Research

BFR Brominated flame retardants

BPA Bisphenol A

CARS Coherent Anti-Stokes Raman Scattering

DSC Differential Scanning Calorimetry

EVA Expanded polystyrene
EVA Ethylene vinyl acetate

FPA FTIR Focal Plane Array (FPA)-based Fourier Transform Infrared (FTIR) Spectroscopy

FTIR Fourier Transform Infrared (spectroscopy)

FTIR-ATR Fourier Transform Infrared-Attenuated Total Reflection (spectroscopy)

GC Gas Chromatography

GC-MS Gas Chromatography-Mass Spectrometry

GPS Global positioning system

H<sub>2</sub>O<sub>2</sub> Hydrogen peroxide

HDI Human Development Index
HDPE High-density polyethylene

ICP-MS Inductively Coupled Plasma Mass Spectrometry
ISO International Organization for Standardization

KOH Potassium hydroxide

LDPE Low-density polyethylene

LOEC Lowest observed effect concentration

MIRS Mid-infrared spectroscopy

MPSS Munich Plastic Sediment Separator

MS Mass spectrometry

MSFD Marine Strategy Framework Directive

NaOAc Sodium acetate

NGO Non-governmental organization

NIRS Near-infrared spectroscopy

NOAA United States National Oceanographic and Atmospheric Administration



NOEC No observed effect concentration

OECD Organisation for Economic Co-operation and Development

OSPAR Convention for the Protection of the Marine Environment of the North-East Atlantic

PAN Polyacrylonitrile

PBDE Polybrominated diphenyl ether

PC Polycarbonate

PCB Polychlorinated biphenyl

PE Polyethylene
PES Polyester

PET Polyethylene terephthalate

PMA Polymethyl acrylate

PMMA Polymethyl methacrylate

PO Polyolefin

POM Polyoxymethylene

POP Persistent organic pollutant

PP Polypropylene
PS Polystyrene

PTFE Polytetrafluoroethylene

PUR Polyurethane
PVA Polyvinyl alcohol
PVC Polyvinyl chloride
PVOH Polyvinyl alcohol

Pyr-GC-MS Pyrolysis-Gas Chromatography-Mass Spectrometry

QR Quick response code

RPM Revolutions per minute

SDS Sodium dodecyl sulphate

International system of units

# 预览已结束, 完整报告链接和二维码如下:

 $https://www.yunbaogao.cn/report/index/report?reportId=5\_13600$ 



