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GEF-5 PROGRAMMING DOCUMENT

Sixth Meeting for the Fifth Replenishment of the GEF Trust Fund
May 12, 2010
Paris, France

GEF-5 PROGRAMMING DOCUMENT
(PREPARED BY THE GEF SECRETARIAT)

LIST OF ACRONYMS

ABNJ	Areas Beyond National Jurisdiction
ABS	Access and Benefit Sharing
AfDB	African Development Bank
AsDB	Asian Development Bank
BBOP	Business, Biodiversity and Offsets Program
CBD	Convention on Biological Diversity
CEO	Chief Executive Officer (of the GEF)
CHM	Clearinghouse Mechanism
COP	Conference of the Parties (to a convention)
CPF	Collaborative Partnership on Forests
CSO	Civil society organization
CSP	Country Support Program
EBRD	European Bank for Reconstruction and Development
EGTT	Expert Group on Technology Transfer
FAO	Food and Agriculture Organization of the United Nations
FAS	Focal Area Set-aside
GEB	Global Environmental Benefits
GEF	Global Environment Facility
GHG	Greenhouse Gas
HCFCs	Hydrochlorofluorocarbons
IAASTD	International Assessment for Agricultural Knowledge, Science, and Technology for Development
IDB	Inter-American Development Bank
IFC	International Finance Corporation (of the World Bank Group)
IPBES	Intergovernmental Platform on Biodiversity and Ecosystem Services
IPCC	Intergovernmental Panel on Climate Change
IW	International Waters
IWRM	Integrated Water Resource Management
KM	Knowledge Management
LDC	Least Developed Country
LDCF	Least Developed Countries Fund
LME	Large Marine Ecosystem
LULUCF	Land Use, Land Use Change, and Forestry
MDB	Multilateral Development Bank
MDG	Millennium Development Goal
MPA	Marine Protected Area
MRV	Measurable, Reportable, and Verifiable
NAMA	Nationally Appropriate Mitigation Action
NBSAP	National Biodiversity Strategy and Action Plan
NDI	National Dialogue Initiative
NGO	Non-governmental organization
NLBI	Non-Legally Binding Instrument on Forests
ODS	Ozone Depleting Substances
OPS-4	Fourth Overall Performance Study of the GEF

PCB	Polychlorinated Biphenyl
PES	Payment for Ecosystem Services
POPs	Persistent Organic Pollutants
PPP	Public Private Partnership
PTS	Persistent Toxic Substances
RBM	Results Based Management
REDD	Reducing Emissions from Deforestation and Degradation
SAICM	Strategic Approach to International Chemicals Management
SCCF	Special Climate Change Fund
SFM	Sustainable Forest Management
SLM	Sustainable Land Management
SGP	Small Grants Programme
SIDS	Small Island Developing States
SME	Small and Medium Enterprise
STAP	Scientific and Technical Advisory Panel (of the GEF)
TAG	Technical Advisory Group
TFA	Tropical Forest Account
TEEB	The Economics of Ecosystems and Biodiversity
TNA	Technology Needs Assessment
UNCCD	United Nations Convention to Combat Desertification
UNDP	United Nations Development Programme
UNEP	United Nations Environment Programme
UNESCO	United Nations Educational, Scientific and Cultural Organisation
UNFF	United Nations Forum on Forests
UNFCCC	United Nations Framework Convention on Climate Change
WHO	World Health Organisation
WSSD	World Summit on Sustainable Development

EXECUTIVE SUMMARY

The GEF's fifth replenishment period will cover GEF operations and activities for the four years from July 1, 2010 to June 30, 2014. The overall approach to programming builds on the achievements of the first four phases of the GEF, and on the refinements made in the focal area strategies during GEF-4. The *GEF-5 Programming Document*, prepared by the GEF Secretariat, builds upon the GEF/R.5/25/CRP.1, *Final GEF-5 Programming Document*, discussed by the Contributing Participants at the March 2010 Replenishment Meeting held in Rome, Italy, and reflects findings from the Fourth Overall Performance Study of the GEF (OPS-4), feedback from the Participants, GEF Agencies, STAP, NGOs, and other stakeholders, and reflects discussions at the COP15 of the UNFCCC.

The paper presents, inter-alia: (i) focal area strategies, and cross-cutting theme strategies; (ii) an approach to enhancing engagement with the private sector; (iii) a corporate programs strategy; and (iv) a results-based management framework, including monitoring and reporting on results. The paper presents information on possible programming approaches at different replenishment levels and on different possible programming levels across focal areas.

Focal Area Strategies

Overall, the GEF-5 focal area strategies reflect the strategic positioning for GEF-5, and a move towards a transformational scale-up of activities, thereby forming the basis for the proposed GEF-5 replenishment targets.

An approach to programming is proposed that will provide opportunities for supporting transformational programs in several countries with the objective of generating significant global impacts, comprised of: (i) support to countries to undertake, on a voluntary basis, *National GEF Portfolio Identification* exercises towards programming GEF resources; and (ii) a *Sustainable Forest Management/REDD-plus Investment Program* and protection of “blue forests” that will combine resources and objectives in more than one GEF-focal area and provide countries with additional resources on top of their respective country allocations.

Enhancing Private Sector Engagement

Complementing the focal area strategies is an approach to further enhancing the engagement with the private sector, with the Earth Fund established together with the IFC in GEF-4, as a major element. The outline of a business plan for the Earth Fund is proposed, with the objective of leveraging resources from the private sector and seeking long-term financial sustainability.

Corporate Programs Strategy

Supporting the focal area strategies is a revised approach to Corporate Programs, shaped around each country's *National GEF Portfolio Identification Exercise*. It is proposed that the *National Dialogue Initiative* be incorporated into the *Country Support Program*. A highlight of the cross-cutting capacity development activity is the creation of a GEF project management curriculum in

collaboration with local/regional universities in recipient countries. The successful Small Grants Program will be further strengthened with the upgrading of mature country programs in GEF-5. The role of civil society organizations, both in the national planning exercises, and in project implementation will be strengthened. The incipient conflict resolution mechanism, established at the Secretariat in GEF-4, will be provided with a more formal structure and elements in GEF-5.

Results-based Management Framework

Underpinning all of the above is the GEF Results-based Management Framework that aims to link the focal area and corporate program objectives to four strategic corporate goals of the GEF, and to strengthen the monitoring and knowledge management functions in the GEF.

Replenishment Target

The GEF has been replenished with over \$10 billion in its 15-year history, and leveraged these resources four times over. Yet replenishment levels have stayed static, resulting in decreasing real value in successive replenishments. At the same time, the demand for resources to meaningfully tackle global environmental problems is estimated at hundreds of billions of dollars. Therefore, at the outset, it is important to target for GEF-5 a replenishment amount that is both a significant increase, and still manageable for the GEF partnership over the next four years.

Based on discussions at the various replenishment meetings, the programming document presents approaches across all focal areas/themes targeting a total replenishment level of \$4.2 billion, which is a 34 percent increase over GEF-4 levels.

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