







# National Programme Submission Form – Colombia

UN-REDD PROGRAMME TENTH POLICY BOARD MEETING

25-28 June 2013 Lombok, Indonesia

# **National Programme (NP) Submission Form to the UN-REDD Programme Policy Board**

1. Policy Board Submission						
Policy Board Meeting No. 10		Inter-sessional Meeting				
Date of Meeting: 26-27 June 2012		Date of Inter-sessional Decision:				
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2. National Programme Summary						
Details of National Programme						
Country	Colombia					
Programme <sup>1</sup> Title	Colombia's UN-REDD National Programme					
Implementing Partner(s) <sup>2</sup>	Ministry of Environment and Sustainable Development					
D	etails of Participating U	N Organizations' Representatives				
UN Resident Coordinator Name: Fabrizio Hochschild Title: UN Resident and Humanitarian Coordinator		Contact details:  Telephone: +57 1 4889000 Ext: 215  Email: fabrizio.hocschild@undp.org				
UNDP Name: Silvia Rucks Title: Country Director		Contact details: Telephone: +571 488-9000 Email: silvia.rucks@undp.org				
FAO: Name: Rafael Zavala Title: Country Representative		Contact details: Telephone: +94112504672 Email: FAO-LK@fao.org				
UNEP: Name: Margarita Astrálaga Title: Regional Director, Regional Office for Latin America and the Caribbean		Contact details:  Telephone: +507 301-3135  Email: Margarita.Astralaga@unep.org				
Type of National Joint Programme						
Full NP:		Initial NP				
New Full NP		New Initial NP				
Continuation from an Initial NJP		Continuation from previous funding				
Other (explain)		Other (explain)				

<sup>&</sup>lt;sup>1</sup> The term "programme" is used for projects, programmes and joint programmes. <sup>2</sup> Refers to National counterparts. List the lead entity first.

### 3. Executive Summary

Colombia has 58,635,000 hectares of forest that contain high biodiversity and of which approximately 54% is located in territories titled to indigenous groups (45.4%), Afro-Colombian communities (7.3%) and peasant communities (1.9%). Between 1990 and 2010, the country lost 6.2 million hectares; equivalent to a deforestation rate of 310,349 hectares/year, with the principal causes attributed to the extension of the agricultural frontier, illegal mining and illicit crops.

With the aim of adopting actions directed toward mitigating the effects of climate change, the Government of

Colombia, in its National Development Plan 2010-2014, proposed to initiate a REDD+ national strategy as an alternate means for forest management and inclusive of actions with regard to climate change mitigation. The Ministry of Environment and Sustainable Development (MADS) developed the REDD+ preparation document (R-PP) with the support of multiple institutions including civil society organizations, the Forest Carbon Partnership Facility (FCPF), the UN-REDD Programme and other donors. Previously, in October 2011 Colombia formally presented its R-PP (version 5) to FCPF Participant Committee, which it approved its fund allocation request. Since then, the R-PP has been revised and updated using the harmonized FCPF UN-REDD, R-PP template resulting in version number 7.0 The document was available for public comments between April 19<sup>th</sup> and May 8<sup>th</sup> at the Ministry's REDD portal. This version was presented and discussed at a national workshop on May 9 and 10, 2013, with broad participation from national and regional government institutions, and various organizations from civil society, indigenous peoples, peasant's communities, Afro-Colombians, private sector, and NGOs. Comments received during this workshop were addressed in version 7 of the R-PP, which was submitted to the Secretariat as part of the documentation to be considered by the UN-

The support requested from UN-REDD will contribute to the implementation of Colombia's s R-PP, building upon the comparative advantages of the participating UN organizations of the UN-REDD Programme (FAO, UNDP and UNEP), concentrating their efforts in components 1) Organize and consult, 3) Develop a national forest reference emission level and 4) Design systems for national forest monitoring, and information on safeguards. Currently, Colombia has made significant progress in the development of a forest monitoring system. However, the formalization of an institutional structure for REDD+ is still needed, including the development of reference levels and a safeguards information system as part of the UNFCCC's requirements for participation in a future REDD+ mechanism.

REDD Programme's Policy Board.

In formulating the UN-REDD National Programme, during an initial UN-REDD mission undertaken in January 2013, the expectations and concerns expressed by the Government of Colombia as well as by NGOs, farmer's organizations and Afro-Colombian communities were taken into consideration. In addition, exploratory meetings were held to present the R-PP and the UN-REDD National Programme among key indigenous organizations, including the National Indigenous Organization of Colombia (ONIC), Organization of Indigenous Peoples of the Colombian Amazon (OPIAC), and the Association of Councils of Forest Matavén (ACATISEMA).

### 3. Executive Summary

The concerns of these organizations including but not limited to the goals of REDD+, its scope, risks, the social and political factors that may be involved and affected by the Programme's implementation as well as alternatives and possible forms of participation in the Programme were discussed. It is clarified that these meetings did not have a character of free prior and informed consent and that additional dialogue on REDD+ with these organizations is necessary, in order to better understanding their models of forest management and to address questions and concerns about REDD+.

The project document will include the recommendations for the implementation of a UN-REDD National Programme in Colombia, based largely on components 1, 3 and 4.

The UN-REDD Programme in Colombia proposes as an objective to support MADS, IDEAM, indigenous, Afro-Colombian communities, peasants and other stakeholders in preparation for REDD+ by improving technical capacity and participation mechanisms at the national and sub-regional levels. The main results to be achieved, by component, in the development of the UN-REDD Programme in Colombia are as follows:

In **component 1** of the R-PP, as the main result of the UN-REDD Programme, it is expected that relevant stakeholders are informed and trained to participate in decision-making on REDD+. For this reason, the Programme will work on: i) supporting the Ministry of Environment and Sustainable Development in the establishment and operation of a national REDD+ round table; ii) participatory assessment of interagency and community challenges and opportunities faced by REDD+ in indigenous territories; and iii) ensuring that the key stakeholders from indigenous, Afro-Colombians and farmer organizations and communities, as well as environmental authorities, are trained and able to engage in dialogue on climate change and forests. Partial and intermediate results of components 3 and 4 will be essential inputs for both the national round table for regional and national actor training and similarly will provide information for the assessment of the challenges and opportunities of REDD+ mechanisms. This component will be led by UNDP and MADS, in collaboration with FAO and UNEP.

The UN-REDD Programme in Colombia will not address **component 2** of the R-PP "Preparation of strategy", in which options to curb deforestation drivers are defined, based on governance structures, environmental management, sustainable management of ecosystems, economic and financial incentives, promotion of sustainable practices at the sector level management, promotion in the national protected areas system, promotion of conservation action and sustainable management in the context of alternative development to illicit crops, and capacity building in the country. This component will be addressed by other donors.

In **component 3**, taking into account Colombia's progress in this area and especially by the IDEAM, the result is aimed at ensuring that the country has adequate technical capacities for forest carbon reporting and establishing benchmark levels at a sub-national level. Products through which they will be achieved are: i) development of technical knowledge and skills for reporting greenhouse gasses (GHG), by both national and regional actors; and ii) construction of sub-national reference levels. This component will be led by FAO and the Institute of Hydrology, Meteorology and Environmental Studies (IDEAM).

### 3. Executive Summary

In **component 4**, it is expected as a result to have a strengthened forest monitoring system developed through decentralized and participatory processes and in accordance with the requirements of REDD+. The main expected products are: i) plan for implementation of national forest monitoring; ii) roles and coordination mechanisms defined (legal, technical and political) in the context of measurement, reporting and verification (MRV); iii) monitoring subsystem forest degradation; and iv) mapping multiple benefits information system on safeguards and identify priority areas for REDD+ identified based on carbon, environmental and social benefits. All products related to the forest monitoring system will be led by FAO and IDEAM, with close collaboration with UNDP, and those related to the multiple benefits system and safeguards by UNEP and the MADS will be in coordination with FAO and UNDP.

A steering committee will be established to guide implementation at the political level and to ensure technical quality and financial transparency, and a technical committee that will monitor Programme implementation. At an operational level there will be a Coordination Unit, ensuring joint action of Programme components, establishment of coordination mechanisms and communication with partners and Programme participants. Together, these actions will strengthen synergies with public institutions, civil society and indigenous organizations, Afro-Colombian communities and farmers, NGOs, private sector and key stakeholders.

The UN-REDD Programme must take place in full coordination with other REDD+ cooperation initiatives and the Government of Colombia. To this end, the Office of International Affairs MADS will facilitate coordination among cooperating entities. For component 1, UNDP will coordinate with the GIZ REDD Program and FCPF. For components 3 and 4a, FAO will coordinate activities with the cooperation program of Winrock and Climate Focus "Piloting Nested REDD+" funded by the Federal Ministry of Environment, Nature Conservation and Nuclear Safety - BMU, under the guidelines Institute of Hydrology, Meteorology and IDEAM Environmental Studies.

Possible collaborations with the U.S. Forest Service and the U.S. Environmental Protection Agency will also be explored. It is expected that the forest monitoring system component will be supplemented by the GEF project "Heart of the Amazon", currently under review by the GEF Secretariat. For Component 4b, UNEP will establish coordination mechanisms with the draft safeguards national scheme funded by the project "Forest Carbon, Markets and Communities" FCMC USAID and implemented by WWF, under guidelines of MADS.

The \$ 4 million requested from the UN-REDD Programme will provide significant funding to the entire process of preparation, which is estimated to cost more than US\$ 25 million. This will be essential to support the subnational approaches nested in the country to develop benchmarks, methodologies monitoring forest degradation, training of stakeholders on REDD+, supporting the institutionalization of the National Board process and developing the REDD+ safeguards information system, all important elements in the preparation process.

4. National Programme Budget (UN-REDD Fund Source only)*								
Outcomes	National Total (\$)		FAO (\$)	UNDP (\$)	UNEP (\$)			
1. Stakeholders are								
informed and empowered to								
participate in decision-making								
on REDD +.	877,718			877,718				
2. The country has the								
technical capacity to report		Allocations						
forest carbon and to establish		ati						
reference levels at sub-		00						
national level.	659,286		659,286					
3. Forest monitoring system		Pass-through Funding						
strengthened and developed		pu						
considering REDD+		F						
requirements.	1, 120,000	ngh	1, 120,000					
4. Multiple benefits of		ıro						
forests identified, mapped		s-t						
and safeguards information		as						
system designed.	707,508	4			707,508			
5. Project management								
costs	373,806			373,806				
Sub-total	3, 738,318		1, 779,286	1, 251,524	707,508			
Indirect Support Costs	261,682		124,550	87,607	49,525			
Grand Total (\$)	4, 000,000		1, 903,836	1, 339,131	757,033			

## NOTES:

- A breakdown of the budget allocations using the UNDG "harmonized input budget categories" must be provided to the UN-REDD Secretariat (for onward transmission to the Administrative Agent) with the signed NJP document. Please see Annex 1.
- If requested and agreed to by the three participating UN Agencies and the Government, budget allocations per agency may be revised, as long as the total budget allocation is not changed.

5. Secretariat Review						
Submission Criteria						
(a)	Is the NJP consistent with the UN-REDD Programme Strategy?	Yes 🖂	No Unclear			
(b)	Has the UN Resident Coordinator been involved in submitting the NJP?	Yes 🖂	No Unclear			
(c)	Is documentation of the in-country validation meeting(s) included?	Yes 🔀	No Unclear			
(d)	Did the validation include the national government counterpart (or designate)?	Yes 🔀	No Unclear			
(e)	Did the validation include civil society/indigenous peoples' representation as per the UN-REDD Operational Guidance <sup>3</sup> ?	Yes 🖂	No Unclear			
(f)	Does the NJP comply with the required format (incl., cover page, results framework, etc.)?	Yes 🖂	No Unclear			
(g)	Does the NJP comply with UN-REDD Rules of Procedure and relevant Operational Guidance?	Yes 🖂	No Unclear			
(h)	Is the required budget allocation provided (see section 3 above)?	Yes 🖂	No Unclear U			
(i)	Are the Indirect Support Costs within the approved rate?	Yes 🖂	No Unclear U			
(j)	Is the Programme Summary completed? (for posting on website)	Yes 🖂	No Unclear U			
(k)	Is the Progress Report included? (for supplementary funding only)	Yes 🗌	No 🛛 Unclear 🗌			
If the answer is 'No' or 'Unclear' to any question, or further explanation is required, please provide here:						
Colombia is presenting its proposal using the harmonized FCPF/UN-REDD R-PP template (version 6). An accompanying "National Programme Document" (grant agreement) with elements specific to the UN-REDD Programme (e.g., cover page, implementation arrangements) will be completed prior signature.						

 $<sup>^{\</sup>rm 3}$  In this context, the representative(s) will be determined in one of the following ways:

i. Self-determined representative(s) meeting the following requirements:

<sup>•</sup> selected through a participatory, consultative process

<sup>•</sup> having national coverage or networks

<sup>•</sup> previous experience working with the Government and UN system

<sup>•</sup> demonstrated experience serving as a representative, receiving input from, consulting with, and providing feedback to, a wide scope of civil society/indigenous peoples organizations.

ii. Representative(s) who participated in a UN-REDD Programme scoping and/or formulation mission and sit(s) on a UN-REDD Programme consultative body established as a result of the mission.

iii. Individual(s) recognized as legitimate representative(s) of a national network of civil society and/or indigenous peoples organizations (e.g., the GEF Small Grants National Steering Committee or National Forest Programme Steering Committee)

#### 5. Secretariat Review

#### Review Issues

(I) Ownership of the NP by the government and non-government stakeholders

The document reflects a high level of ownership among the following government agencies: Ministry of Environment and Sustainable Development which will act as technical secretary of the Climate Change commission and the lead implementing body under the National Planning Department, this entity includes the Ministry of the Interior, the Ministry of Agriculture, the Ministry of Mining and Energy, the Ministry of Transport, the Ministry of Finance and Public Credit, the Ministry of Commerce, and the Ministry of Land, housing and cities.

Non-government stakeholders involved in the process include indigenous peoples' representatives, Afro-Colombian and peasant communities, NGOs, academia and research institutes and cooperating agencies. Additionally, it should be noted the importance of recognizing as an interest group women and young people due to their role in natural resources management.

Although regional and local, regional environmental authorities, and companies in the manufacturing sector are listed as stakeholders that participated in early consultation processes. The reviewers recommended participatory efforts be more inclusive of the private sector, particularly those associated with being drivers of deforestation.

(m) Level of consultation, participation and engagement

The participation process for developing Colombia's R-PP started in 2011 and has continued throughout the seven different versions. During this process the government has improved and extended the participation of the main stakeholders, including representatives from indigenous peoples, Afro-Colombian communities, peasants, and national and international NGOs, which are reported to have contributed to the various R-PP versions.

As for early dialogue and information, annex 1b(3), page 211, provides a list of meetings, with organizations of different levels, at different regions of the country.

Further consultations are planned, including convening regional workshops to define protocols for consultation and free prior and informed consent. The regional approach taken by the proposal should enable appropriate consideration of regional differences in the country. The proposed stakeholder platforms (*Mesas* 

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