## Asia-Pacific Trade Facilitation Forum 2012 Survey on Trade Facilitation and Paperless Trade

This survey seeks to establish the readiness and progress made in implementing trade facilitation in countries of Asia and the Pacific, in particular with regard to single window, paperless trading and transit facilitation.

All participants to the APTFF 2012 are kindly requested to complete the survey to the best of their knowledge. Completed questionnaires may please be sent to Mr. Tengfei Wang, Trade Facilitation Section, UN ESCAP at <u>wangt@un.org</u>, copied to <u>aptff@un.org</u>, no later than <u>20 October 2012</u>. A preliminary analysis of the results will be conducted by ADB/ESCAP prior to the Forum. Kindly note that we may seek additional information and clarification from you during the Forum. Any questions or clarifications related to the survey may also be addressed to Mr. Wang.

## SECTION A – INFORMATION ON RESPONDENT

### Question A-1

Name:	
Title:	
Organization:	
Email:	
Country:	

### Question A-2

**Your organization is** (please indicate the correct response by putting an **X** in the corresponding brackets):

- [] A government ministry/agency
- [] A private sector organization
- [ ] Others, please specify: \_\_\_\_

## **SECTION B- TRADE FACILITATION MEASURES - GENERAL**

(Please indicate the correct response by putting an **X** in the corresponding brackets)

### Question B-1

Does your country have a national trade facilitation strategy?

[]Yes []No []Don't Know

#### If yes, it is part of:

[] National Trade Development Strategy or Plan

[] E-Government Development Strategy or Plan

[] Other (Please specify): \_\_\_\_\_

Please elaborate on the status of implementation and focus of the strategy or plan:

### Question B-2

UN/CEFACT Recommendation 4 recommends that "Governments establish and support national trade facilitation bodies with balanced private and public sector participation".

Does your country have a national trade facilitation body that promotes and implements trade facilitation and paperless trade in the country?

If yes, please specify the name of this national body: \_\_\_\_\_

### Please elaborate if possible:

 Question B-3

 Is there a lead agency for trade facilitation in your country?

 []Yes
 []No

 []On't Know

If yes, (i) please specify name:

(ii) Is it also the lead agency for paperless trade and/or National Single Window implementation?

[]Yes []No

[] Don't Know

Please elaborate if possible:

### Question B-4

## Which of the following trade facilitation measures are implemented in your country? (For each TF measure listed, please put an X in the corresponding column)

Trade Facilitation Measure	Fully implemen ted	Partially implemen ted / Pilot Stage	Not implemen ted	Don't Know
Publication of existing import-export regulations on the Internet				
Stakeholder consultation on new draft regulations (prior to their finalization)				
Advance publication/notification of new regulation before their implementation (eg., 30 days prior)				
Advance Ruling (on tariff classification)				
Risk management (as a basis for deciding whether a shipment will be or not physically inspected)				
Pre-arrival clearance				
Post-clearance audit				
Independent appeal mechanism (for traders to appeal Customs rulings)				
National Single Window				
Other measures. Please specify:				

### Question B-5

Please describe the main trade facilitation measures/initiatives implemented in your country <u>in the last 12 months</u>, if any:

## SECTION C- NATIONAL SINGLE WINDOW

UN-CEFACT defines a Single Window as "a facility that allows parties involved in trade and transport to lodge standardized information and documents with a single entry point to fulfil all import, export, and transit-related regulatory requirements. It aims to simplify and expedite information flows between trade and government." A Single Window does not necessarily imply the use of high-tech information and communication technology (ICT). However, trade facilitation can often be greatly improved with the adoption of relevant ICT technologies. This section aims to evaluate the readiness and/or progress of transitioning to an <u>electronic</u> National Single Window.

### Question C-1

**Does your Customs Authority have an electronic/automated Customs System?** (*please indicate you response by putting an* **X** *in the corresponding brackets*)

[] Yes, operational [] Under development [] No [] Don't Know

Please elaborate on the name of the e-Customs System, implementation status, functionalities, and possible challenges and limitations:

### Question C-2

**Is the submission of the Customs Declarations done electronically?** (*Please mark with an* **X** *all the statements that apply*)

[] 100% of the declarations are submitted electronically to the e-Customs System directly

- [ ] 100% of the declarations are submitted electronically via the National Single Window (NSW) System, and routed by NSW to the e-Customs System
- [] Only some of the declarations are submitted electronically (please specify %: \_\_\_\_\_)
- [] Don't know

# Please elaborate on the current status and plans regarding electronic submission of declarations:

### **Question C-3**

How many regulatory agencies are involved in regulating trade/ imports/exports in your country (e.g., Customs, Commerce Ministry, Agriculture Ministry, Health Ministry...)? (Answer in approximate range or an exact number if you know):

[] Don't know

### Question C-4

How many ports are there in your country?

(Answer in number if you know, leave blank if do not know)

Sea Ports: \_\_\_\_ Inland Ports: \_\_\_\_ Air Ports: \_\_\_\_ [ ] Don't know

## <u>Question C-5</u> Does your country have a National Single Window?

[] Yes (i.e., a National Single Window is operating)

- [ ] Under development (i.e., a National Single Window is planned or being implemented)[ ] No
- []NO
- [] Don't know

# → If Yes or Under Development, please answer Questions C-6 to C-9 → If No, please go to and answer Question C-10

### Question C-6

**Who is the National Single Window operator in your country?** (*please provide the name and, if possible, elaborate on its functions and status, e.g. public-private partnership*)

### Question C-7

How many of the trade-related regulatory agencies (ref. Question C-3) are currently connected\* to the National Single Window? (Answer with a number if you know, or an approximate range)

[] Don't know

<sup>\*&</sup>quot;connected "means the international systems used by the Regulatory Agencies are interfaced or exchanging data with the National Single Window.

### **Question C-8**

How many of the ports are currently connected<sup>\*</sup> to the National Single Window? (Answer with a number if you know)

Sea Ports: Land Ports: Air Ports: [] Don't know

\*"connected" means the internal systems used by the ports are interfaced or exchanging data with the National Single Window

### **Question C-9**

Please indicate if the following services are <u>already available</u> OR <u>expected to be available</u> no later than 2013 in the National Single Window? (Put an A in the relevant box for services already offered; and a **P** for those under development)

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Services	Import	Export	Transit	Transhipment*t
Electronic Application and Issuance of Trade Licenses				
Electronic Submission of Sea Cargo Manifests				
Electronic Submission of Air Cargo Manifests				
Electronic Submission of Customs Declarations				
Electronic Application and Issuance of Preferential				
Certificate of Origin				
E-Payment of Customs Duties and Fees				
Electronic Application for Customs Refunds				
Electronic Reconciliation of Manifests/Declaration				
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\*Shipping of goods to an intermediate destination (on their way to a final one).

### **Question C-10**

### At which stage of planning and development is your country currently in implementing a **NSW?** (Please mark the corresponding brackets with an **X**)

- [] No plans for a NSW
- [] NSW Feasibility study/ Request for information (RFI)
- [] NSW Master Plan drawn up
- [] NSW Technical Specifications available
- [] NSW procurement process (Request for Proposal(RFP)/tender) on-going
- [] NSW project awarded for implementation (please specify date: \_\_\_\_)

### Please elaborate on NSW planning and development status as you see fit:

## SECTION D – TOWARDS CROSS-BORDER PAPERLESS TRADE

By transitioning to a paperless trade and the use of electronic documents in transactions, not only can trade process be simplified, trade transaction costs can be reduced significantly, opening up new trade opportunities. This section aims to evaluate the readiness and/or progress made towards cross-border paperless trading.

### Question D-1

**Does you country have:** (*please indicate you response by putting an* **X** *in the corresponding brackets*)

-an e-commerce law?	[ ] Yes [ ] Draft only [ ] No [ ] Don't know
-an e-signature law?	[ ] Yes [ ] Draft only [ ] No [ ] Don't know
-an e-transaction law?	[ ] Yes [ ] Draft only [ ] No [ ] Don't know

### Question D-2

**Does your country's legislation fulfil the following?** (*Check all that apply*)

- [] Commerce law making electronic records functionally equivalent with paper documents
- [] Identification, authentication and authorization schemes in place such that originator/signer of an electronic document can be conclusively identified by the recipient.
- [] Liability and associated limits in place in case of errors or negligence in the electronic aspect of information exchanged
- [] Data protection laws covering proprietary company data and confidential trade data processed electronically
- [] Don't know

### Question D-3

Does your country have any recognised Certification Authority that can issue digital certificates to traders to enable a secure and trusted environment for the conduct of electronic transactions?

[] Yes, please specify name:\_\_\_\_ [] No [] Don't know

### Question D-4

Is your country engaged in <u>cross border</u> paperless trade document or data exchange with other countries?

[]Yes []No []Don't know

Please elaborate on the on-going or planned activities in this area (e.g., countries with which data/documents are already exchanged, type of documents, on-going pilot projects, agreements or Memorandum of understandings already signed on cross-border paperless trade)

### Question D-5

To the best of your knowledge, how are the following documents exchanged between traders in your country and their overseas counterparts/partners? (*Please mark with an* X *the right column, and both if applicable*).

Cross-Border Trade Documents	Electronic	Paper
Air Waybill		
Bill of Lading		
Commercial Invoice		
Dangerous Goods Declaration		
House Bill of Lading		
House Waybill		
Cargo Manifest		
Commercial Invoice		
Certificate of Origin		
Sanitary & Phyto-Sanitary Certificate		
Packing List		
Shipping Instructions		

### Question D-6

**Do banks and insurers in your country offer these electronic services?** (Check all that apply)

- [] Retrieving letters of credit electronically without lodging paper-based documents
- [] Arrange for insurance electronically
- [] Ability to process electronic Bills of Lading
- [] Don't know

## Question D-7

**Do freight forwarders, sea and air cargo carriers in your country offer these e-services?** *(Check all that apply)* 

[] Provide details of shipping movements online

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