

# Addressing Technical Issues for Paperless Trading and SW Implementation



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Paperless Trade and Single Window**  
**Kish Island, Islamic Republic of Iran**  
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# Speaker - Dr. Somnuk Keretho



**Somnuk Keretho** is an assistant professor of Computer Engineering Department, and the founding Director of Institute for IT Innovation (INOVA), a research and development institute of Kasetsart University, Thailand, specializing in ICT-enabled innovation, trade facilitation and e-logistics initiatives including Single Window strategic planning and implementation, enterprise information architecture for e-government and e-business, business process analysis and improvement, data harmonization and modeling, ICT-related standards and interoperability, e-transaction related laws, and process-oriented quality software engineering.

He has led several ICT strategic projects at organizational, national and regional levels. For the past seven years, he has assisted Ministry of Information and Communication Technology, National Economic and Social Development Board, Ministry of Transport, Port Authority of Thailand, and Ministry of Agriculture in architecting “Thailand Single-Window e-Logistics” related projects including its national e-logistics strategy, implementation plans, interoperability and standards, harmonization and simplification of trade and transport-related documents and procedures, automatic electronic-gate systems development for the Bangkok Port and the Leamchabang Sea Port, and several related software development projects.

Several of those projects are being aligned with regional and international collaborations, in which Dr. Keretho has actively engaged mostly related to trade facilitation, single window and paperless trading initiatives through UNESCAP, UNECE, APEC and ASEAN. He has played several roles in catalyzing the creation of and actively contributing to the United Nations Network of Experts for Paperless Trade in Asia and the Pacific ([www.unescap.org/unnext](http://www.unescap.org/unnext)), and providing several technical supports to the APEC Paperless Trading and ASEAN Single Window Initiatives. He is the main author of the UNNExT Business Process Analysis Guide, the UNNExT Data Harmonization Guide and the UNNExT Managerial Guide for Single Window Planning and Implementation.

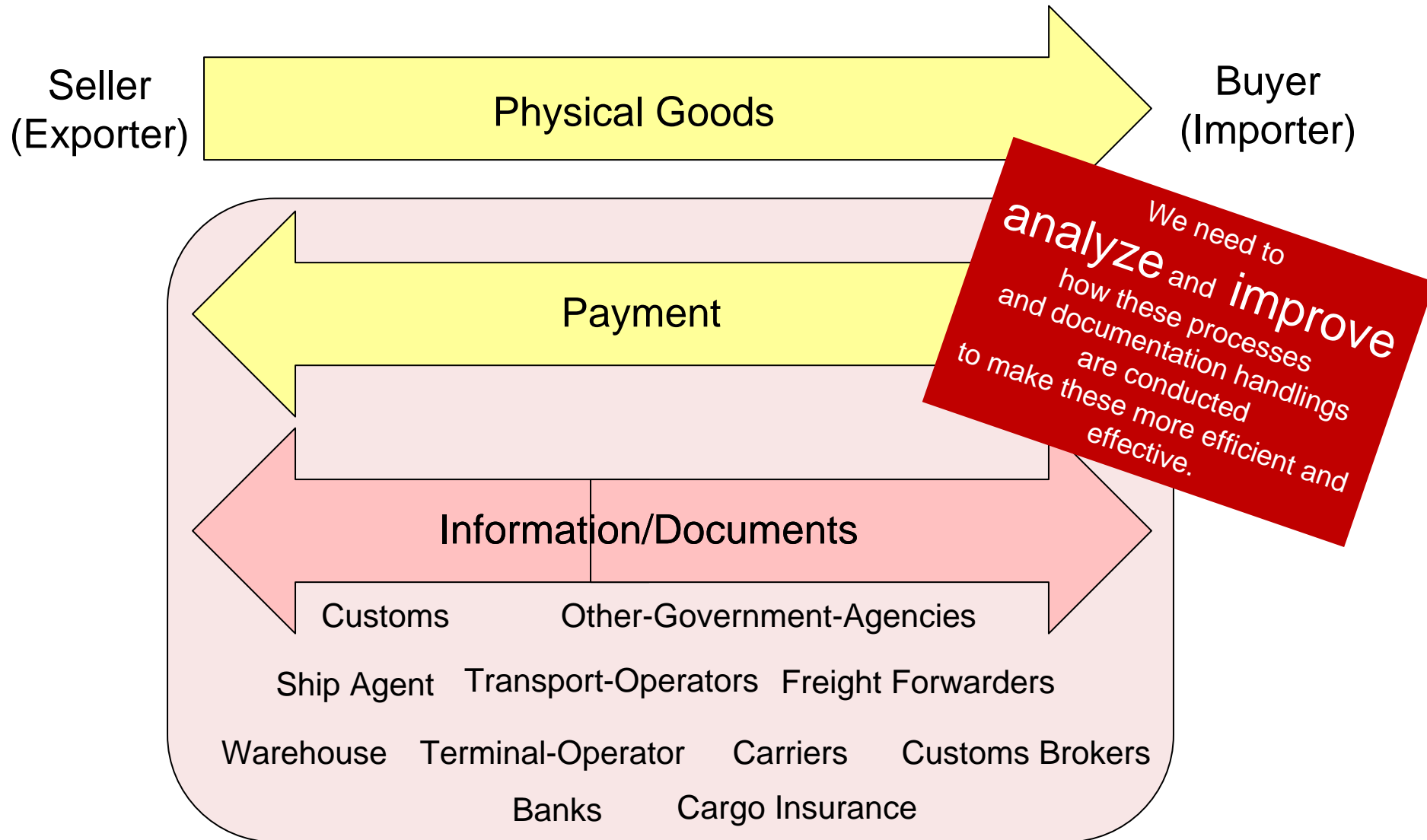


# Topics of this presentation

To introduce technical issues (**what are they, and why they are important**) related to SW Implementation

- Process Analysis and Process Improvement
- Data Harmonization & Document Simplification
- e-Message Development
- System Interface & Interoperability
- Online Security
- Use of Technical Standards
- .....

# Trade Logistics – 3 Kinds of Flow



# Documents related to Exportation of Rice

(from purchase order until the cargo container leaving the sea port)

**36 Documents involving 15 parties, and more than 1,140 data elements to be filled in**

## Buy/Pay Docs

1. Proforma Invoice (35)
2. Purchase Order (39)
3. Commercial Invoice (51)
4. Application for Letter of Credit (24)
5. Letter of Credit (32)
6. Packing List (25)
7. Cargo Insurance Application Form (20)
8. Cover Note (23)
9. Insurance Policy (24)
10. Booking Request Form – Border Crossing (25)
11. Booking Confirmation – Border Crossing (30)
12. Booking Request Form – Inland Transport (16)
13. Booking Confirmation – Inland Transport (18)
14. Bill of Lading (42)
15. Empty Container Movement Request (TKT 305) (20)
16. Request for Port Entry (TKT 308.2) (27)
17. Equipment Interchange Report (EIR) (24)
- 18. Container Loading List (28)**
19. Container List Message (32)
20. Outward Container List (34)

## Transport Docs

21. Master Sea Cargo Manifest(17)
22. House Sea Cargo Manifest (37)

## Regulatory Docs

- 23. Export Declaration (114)**
- 24. Good Transition Control List (27)**
- 25. Application for Permission to Export Rice (KP. 2) (24)**
26. Sales Report (KP 3) (21)
- 27. Application for the Collection of the Permit for the Export of Rice (A. 3) (35)**
- 28. Permit for the Export of Rice (A. 4) (35)**
- 29. Application for Certificate of Standards of Product (MS. 13/1) (44)**
- 30. Certificate of Analysis (17)**
- 31. Certificate of Product Standards (MS. 24/1) (45)**
- 32. Certificate of Fumigation (21)**
- 33. Application for Phytosanitary Certificate (PQ. 9) (29)**
- 34. Phytosanitary Certificate (33)**
- 35. Application for Certificate of Origin (42)**
- 36. Certificate of Origin (38)**

\* Number in parenthesis is the no. of data elements

# Conduct Business Process Analysis

## - Exporting Jasmine Rice from Thailand -

BUSINESS PROCESS ANALYSIS

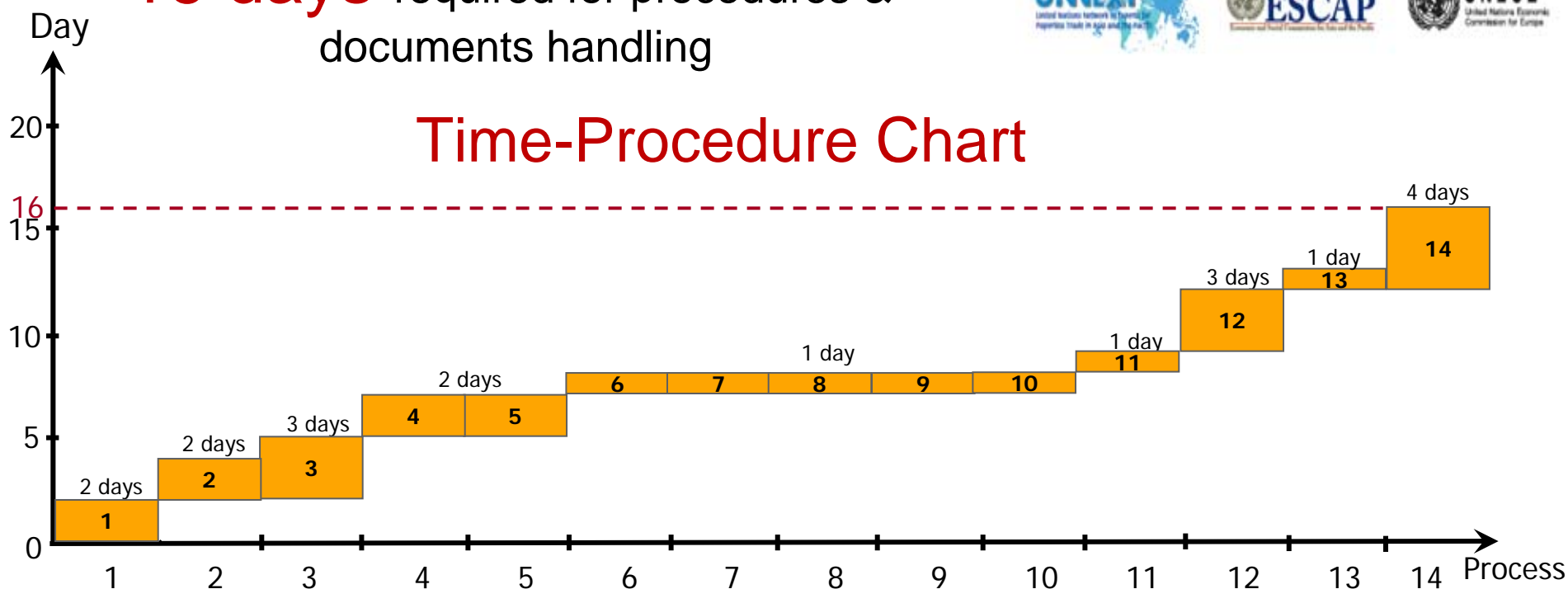
GUIDE

TO SIMPLIFY TRADE PROCEDURES



**16 days** required for procedures & documents handling

### Time-Procedure Chart



1. Buy - Conclude sales contract and trade terms
2. Obtain export permit
3. Arrange transport
4. Arrange the inspection and fumigation
5. Obtain cargo insurance
6. Provide customs declaration
7. Collect empty container(s) from yard

8. Stuff container(s)
9. Transfer to port of departure
10. Clear goods through customs
11. Handle container at terminal and stow on vessel
12. Prepare documents required by importer
13. Verify the accuracy/authenticity of exported cargo
14. Pay - Claim payment of goods

# Exporting Rice

(from purchasing time till the vessel leaving the port)

- 36 required documents (only 4-6 e-documents)
- 15 Stakeholders involved
- 14 big steps (123 small steps)
- 16 days needed (all together)
  - 6 days for **regulatory** procedures
  - 7 days for **transport-related** procedures
  - 12 days for **traders, banks** and **insurance** procedures





# The issue is about

## Increasing al Trade Competitiveness

by improving  
rt/Transit **Procedures and Documents Handlings**  
among Government Agencies,  
Business Entities and  
logistics/Transport Service Providers

s called **“Trade Facilitation”** improvement  
(the third flow of logistics)

ay national development agenda of most countries around the world.)

预览已结束，完整报告链接和二维码如下：

[https://www.yunbaogao.cn/report/index/report?reportId=5\\_7609](https://www.yunbaogao.cn/report/index/report?reportId=5_7609)

