

GOVERNANCE ASSESSMENT FRAMEWORK

FOR METROPOLITAN, TERRITORIAL AND
REGIONAL MANAGEMENT



GOVERNANCE SERIES | VOLUME 1

UN HABITAT
FOR A BETTER URBAN FUTURE

GOVERNANCE ASSESSMENT FRAMEWORK:
For metropolitan, territorial and regional management.

First published in Nairobi in 2020 by UN-Habitat
Copyright © United Nations Human Settlements Programme, 2020

Some rights reserved

Available under a Creative Commons license CC BY 3.0 IGO:
<http://creativecommons.org/licenses/by/3.0/igo/>

United Nations Human Settlements Programme (UN-Habitat)
P.O. Box 30030, 00100 Nairobi GPO KENYA
Tel: 254-020-7623120 (Central Office)
www.unhabitat.org

HS Number: HS/043/20E.

Acknowledgements

Coordinator: Remy Sietchiping.

Principal Author: Rafael H. Forero H.

Contributors: Gianluca Crispi; Stephanie Gerretsen; Solomon Karani; Michael Kinyanjui; Anne Klen-Amin; Suzanne Koufana; Samuel Njuguna; John Omwamba; Remy Sietchiping; Maria del Pilar Tellez.

Peer Review: Lia Brum (Metropolis); Xavier Tiana (Metropolitan Area of Barcelona - AMB); Camila Giraldo Zuluaga, Pablo Maturana and Juan Pablo Quintero (Metropolitan Area of Valle de Aburrá - AMVA); Rafaël Hortua and Stéphane Pineault (Metropolitan Community of Montreal - CMM); Gabriela de la Torre and Mario Silva Rodriguez (Metropolitan Planning Institute of the Metropolitan Area of Guadalajara - IMEPLAN); Erlinda Minero (Planning Office of the Metropolitan Area of San Salvador - OPAMSS); and Frederic Happi Mangoua, Martino Miraglia, Efren Osorio Lara and Camilo Romero (UN-Habitat).

Editing Support: Vicky Quinlan.

Design and Layout: Monica Castro.

Pictures: © Rafael H. Forero H.

Financial Support: Swedish International Development Cooperation Agency (Sida).

Disclaimer

The designations employed and the presentation of the material in this publication do not imply the expression of any opinion whatsoever on the part of the Secretariat of the United Nations concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries.

Excerpts may be reproduced without authorization, on condition that the source is indicated.

GOVERNANCE ASSESSMENT FRAMEWORK

FOR METROPOLITAN, TERRITORIAL AND
REGIONAL MANAGEMENT



Metropolitan
Community of Montreal,
Canada. 2016.

Message from the Executive Director



I am delighted to introduce this new governance tool entitled *"Governance Assessment Framework: For metropolitan, territorial and regional management"*. The relevance of governance to achieve the Sustainable Development Goals and implement the New Urban Agenda has been widely recognised in recent years. We at UN-Habitat believe that governance is catalytic for integrated territorial development and due to that we recently adopted it as one of the drivers of change for sustainable urbanisation. We promote urban governance as outcome-oriented to ensure that all urban residents reap the benefits and share the burdens of investing in sustainable urbanisation.

Governance is one of those human activities that becomes more apparent when it is not achieved. Weak governance frameworks lead to economies that do not thrive, marginal communities, unhealthy environments, inadequate infrastructure, Human Rights violations, and other conflicts caused by divergent interests. In short, inadequate governance reduces the quality of life for millions of people in ways that

could be avoided. Therefore, good, effective and inclusive governance frameworks are needed to properly manage our increasingly complex social urban landscapes.

In today's world, cities and their related territorial agglomeration are evolving rapidly. In the first 15 years of this century the land area that cities cover grew annually by 1.5 per cent. Between 2000 and 2020, 1.5 billion people became urbanised giving rise to more densely populated and geographically extensive metropolises. This is fast transforming the function of the metropolis and its relations to its interdependent territories. According to our most recent analysis, almost the 60 per cent of the urban population – a third of humanity – live in metropolitan spaces, meaning that the way in which they are governed will have a direct impact on the lives of much of the global population for many decades to come.

The Covid-19 pandemic has shown us that cities are in the front line of twenty-first century challenges and that overcoming those challenges requires new governance approaches based on cooperation, collective action and solidarity. The UN-Habitat Governance Assessment Framework and the two-step assessment tools introduced in this document present an analytical and practical vision of governance. They propose the understanding of governance as a complex process in which institutional solutions, decision-making and collective action must work together. Furthermore, the eight inspiring practices included illustrate how the framework can be used and, specifically, how governance

has been achieved in diverse metropolitan and regional realities. They serve as an invitation for other territories to move towards good, effective and inclusive governance systems.

As a former mayor and an urban planner, I believe that good governance is at the heart of well-managed cities. Equally important are the tools that enable us to understand the evolution of the cities we manage, the governance framework we need to strive for and the integrated planning we need at the territorial, metropolitan and neighbourhood level.

With this tool we hope to contribute to the ongoing debate on metropolitan and territorial subjects and complement the important work done by several international partners, academics and national, sub-national and local governments.

I invite you all to enjoy the read, share and improve metropolitan, territorial and regional governance for a better urban future!

A handwritten signature in black ink, appearing to read 'Maimunah'.

Ms. Maimunah Mohd Sharif
Under-Secretary-General and Executive Director, United Nations Human Settlements Programme (UN-Habitat)

Table of Contents

Message from the Executive Director.....	5
Table of Contents	6
List of Boxes	8
List of Figures	8
List of Tables	8
List of Acronyms	9
Executive Summary	11
Introduction	13
1.Unpacking Governance for Territorial Management at Supra-municipal Scales	16
1.1. The role of governance in a world of metropolises	19
1.2. Introducing a framework for assessing governance in metropolises and regions	20
2.Institutional Solutions	24
2.1. Formal arrangements	25
2.2. Informal arrangements	26
2.3. Policy recommendations	27
<i>Promote multi-level governance based on vertical and horizontal institutional coordination</i>	<i>27</i>
<i>Facilitate subsidiarity and autonomy for managing metropolitan and regional affairs</i>	<i>27</i>
<i>Use institutional solutions for addressing territorial needs expressed by citizens and local governments</i>	<i>28</i>
3.Decision-Making	30
3.1. Governing bodies	31
3.2. Knowledge management	32
3.3. Policy recommendations	34
<i>Achieve local governments representation and territorial power equivalence at metropolitan/regional/supra-municipal governing body(ies)</i>	<i>34</i>
<i>Base decision-making on evidence and implement knowledge management mechanisms</i>	<i>34</i>
<i>Increase transparency in decision-making and include post-facto scrutiny</i>	<i>34</i>
4.Collective Action	36
4.1. Administrative acts	37
4.2. Development visions	38
4.3. Policy Recommendations	39
<i>Apply legal force for binding agreements and administrative acts</i>	<i>39</i>
<i>Include development visions in decision-making, territorial management instruments and administrative acts</i>	<i>40</i>
5.Inspiring Practices	42
Metropolitan Area of Valle de Aburrá (Colombia).....	44
Metropolitan Area of San Salvador (El Salvador)	48
Metropolitan Community of Montreal (Canada)	52
Greater London (United Kingdom)	56
Metropolitan Area of Barcelona (Spain)	60
Bratislava Self-Governing Region (Slovakia)	64
City of Johannesburg Metropolitan Municipality (South Africa)	68
Singapore (Singapore)	72

References75

Annex 1. Assessment Tools77

Template 1. Metropolitan, Territorial and Regional Governance Assessment Tool77

Template 2. Metropolitan, Territorial and Regional Governance Scorecard79

List of Boxes

Box 1. Selected Governance Principles and Commitments from the New Urban Agenda.....	17
Box 2. Recommended Resources: Metropolitan Global and Regional Trends.....	21
Box 3. Governance Assessment Questions: Institutional Dimension.....	25
Box 4. Recommended Resources: Institutional Solutions.....	27
Box 5. Governance Assessment Questions: Political Dimension.....	31
Box 6. Recommended Resources: Decision-making.....	33
Box 7. Governance Assessment Questions: Instrumental Dimension.....	37
Box 8. Recommended Resources: Collective Action.....	39

List of Figures

Figure 1. World Metropolises with more than 300,000 inhabitants in 2020 by size.....	20
---	----

List of Tables

Table 1. Governance Assessment Framework for Metropolitan, Territorial and Regional Management (GAF-MTR).....	21
Table 2. Metropolises and Regions selected as Governance Inspiring Practices.....	42
Template 1. Metropolitan, Territorial and Regional Governance Assessment Tool.....	77
Template 2. Metropolitan, Territorial and Regional Governance Scorecard.....	79

预览已结束，完整报告链接和二维码如下：

https://www.yunbaogao.cn/report/index/report?reportId=5_17693

