

#### Addressing Urban and Human Settlement Issues in National Adaptation Plans

- A Supplement to the UNFCCC Technical Guidelines on the National Adaptation Plan Process

Nairobi, April 2019

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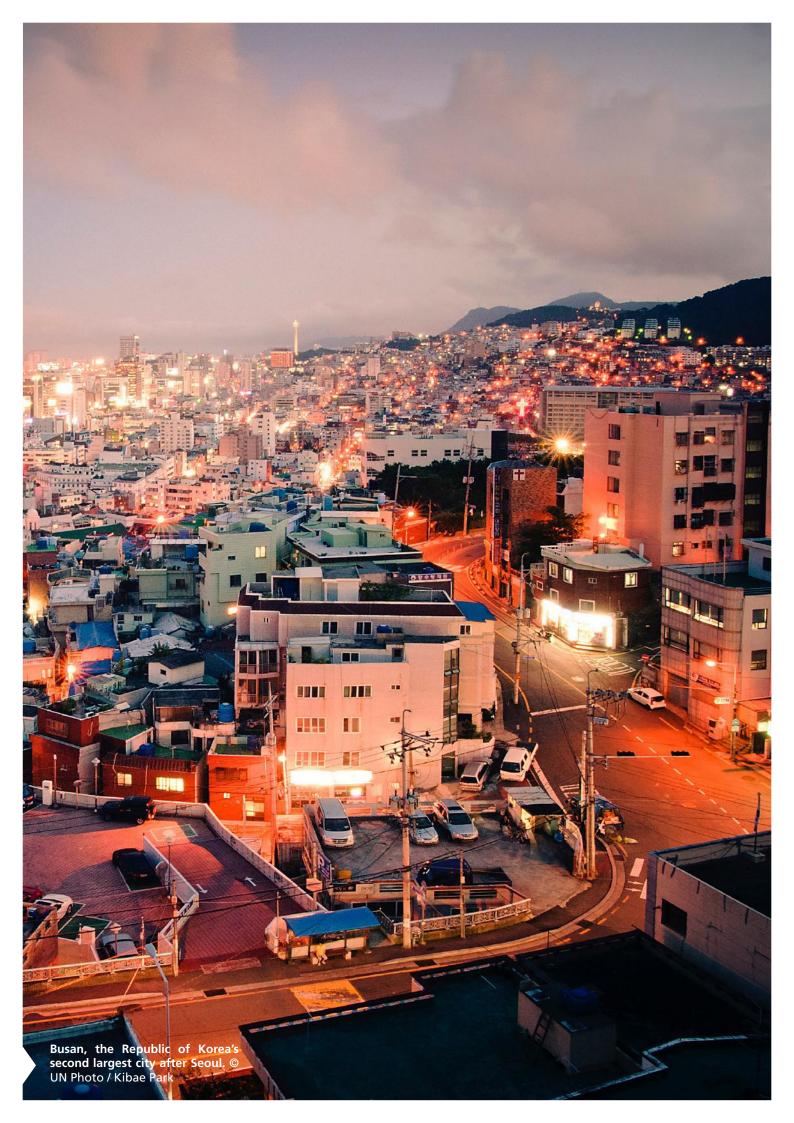
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### **Foreword**

# Addressing Urban and Human Settlement Issues in National Adaptation Plans

We live in an urban world: more than 55 per cent of the world population lives in urban areas today; this likely grow to 68 per cent by 2050. Human settlements are the local, national and global drivers of economic prosperity and development, with up to 80 per cent of the global Gross Domestic Product generated in our towns, cities and metropolises. Cities are also the hubs for governance and state institutions, for ideas, commerce, culture, science and for social, human and individual development.

While they are drivers of prosperity, cities also drive climate change, and are particularly vulnerable to its effects. Worldwide, some of the communities most vulnerable to climate change are the urban poor. They are the people living in already stressed informal urban settlements, in atrisk areas unsuitable for permanent development: susceptible to flooding, landslides and sea level rise. These communities often have limited means to withstand extreme weather. They contain substandard dwellings, with limited basic services and infrastructure to support them pre- and post-crisis.

The Paris Agreement on Climate Change aims to set the course toward a healthier, safer, more prosperous future by limiting global warming and ensuring an adequate adaptation response. According to UN-Habitat's analysis of the national pledges under the Paris Agreement, approximately two-thirds of all countries have urban content in their Nationally Determined Contributions. Of these, 113 out of 164 countries have focused on adaptation in human settlements. Translating these commitments to national plans and strategies is crucial in the coming years.

National Adaptation Plans are essential in articulating the adaptation needs and priorities of countries. The process of formulating such plans helps countries to identify medium-and long-term adaptation needs and to develop, scale up and implement strategies and programmes to address them. Formulating and implementing National Adaptation Plans is also necessary to scaling up planning and attracting investment for adaptation actions.

Integrating urban issues and human settlement aspects in National Adaptation Plans enables countries to reduce vulnerabilities of people and improve adaptabilities to climate change. It is my hope that this guide will support countries to achieve this goal. This publication responds to a call by Least Developed Countries Expert Group of the United Nations



Framework Convention on Climate Change (UNFCCC), inviting international actors to "...come forward in drafting supplementary sectoral guidelines to the NAP Technical Guidelines...", the overarching guidance on formulating National Adaptation Plans.

This supplement to the National Adaptation Plans Guidelines was developed as an outcome of discussions with Member States. It was informed by the parties working under the UNFCCC Nairobi Work Programme, National Adaptation Plans experts and the urban development community over the course of the last year in a participatory and consultative process. UN-Habitat is grateful to its partners for their support in this endeavour, and hopes that it will be a useful contribution to government efforts to improve their climate resilience in settlements, towns, cities and metropolises.

I would like to encourage planners at the national level, experts, and decision-makers working on climate change to use this Supplementary Guide to better understand the need and opportunities for climate change adaptation in human settlements. At the same time, I hope that this publication will also be useful for local authorities to contribute to National Adaptation Plans, and strengthen synergies and partnerships between national and urban actors.

By building more resilient cities together, we can achieve our common global commitments, articulated in the Paris Agreement and the New Urban Agenda, and pledge to leave no one and no place behind.

**Ms. Maimunah Mohd Sharif** Under-Secretary-General and Executive Director, UN-Habitat

## **Abbreviations and Acronyms**

AF Adaptation Fund

**AFINUA** Action Framework for the Implementation of the New Urban Agenda

**AR5** Fifth Assessment Report

CBO Community-Based Organization
CDM Clean Development Mechanism
CIF Climate Investment Fund
COP Conference of the Parties
CPI Climate Policy Initiative

**DFI** Development Finance Institution

**DIE / GDI**Deutsches Institut für Entwicklungspolitik / German Development Institute

**EU** European Union

**FAO** Food and Agriculture Organization

GCF Green Climate Fund
GEF Global Environment Facility
GDP Gross domestic product

**GHG** Greenhouse gas

**GIS** Geographic information system

GIZ Deutsche Gesellschaft für Internationale Zusammenarbeit

(German International Cooperation Agency)

**HS** Human Settlement

**IKI** International Climate Initiative

IPCC Intergovernmental Panel on Climate Change

JCM Joint Crediting Mechanism
JI Joint Implementation

**LEG** Least Developed Countries Expert Group

LDC Least Developed Country
LDCF Least Developed Countries Fund

**LEG** Least Developed Countries Expert Group

**M&E** Monitoring and Evaluation

**NAMA** Nationally Appropriate Mitigation Action

NAP National Adaptation Plan

**NAP-GSP** National Adaptation Global Support Programme

NAP-HS Addressing Urban and Human Settlement Issues in National Adaptation Plans

**NDCs** Nationally Determined Contributions

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