

EVALUATION OF THE UN-HABITAT PROGRAMME FOR ACHIEVING SUSTAINABLE URBAN DEVELOPMENT

PHASE 1



DECEMBER 2017

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LIST OF ACRONYMS AND ABBREVIATIONS

3PA	Three-Pronged Approach (to planned urbanisation)
AFINUA	Action Framework for the Implementation of the New Urban Agenda
CPI	City Prosperity Index
EA	Expected Accomplishment
ED	UN-Habitat's Executive Director
EGM	Expert Group Meeting
ENOF	Enhanced Normative and Operational Framework
FA	Focus Area
GGGI	Global Green Growth Institute
HCPD	Habitat Country Programme Document
HDI	Human Development Index
HQ	UN-Habitat's Headquarters
HRBA	Human Rights-Based Approach
IG-UTP	International Guidelines on Urban and Territorial Planning
ISVIMED	Social Institute for Housing and Habitat Medellin, Colombia
LED	Local Economic Development
MTSIP	Medium-Term Strategic and Institutional Plan 2008-2013
NUA	the New Urban Agenda
NUC	New Urban Communities in Egypt
NUF	National Urban Forum
NUP	National Urban Policy
PAAS	Project Accrual and Accountability System
PCE	Planned City Extensions
PCE/I	Planned City Extensions and (Urban) Infill
PRC	Programme Review Committee
PSUP	Participatory Slum Upgrading Programme
RMB	Results Based Management
ROAf	Regional Office for Africa
ROAP	Regional Office for Asia and the Pacific
ROLAC	Regional Office for Latin America and the Caribbean
RPS	Rapid Planning Studio
SUP	Strategic Urban Plan
UEFB	Urban Economy and Finance Branch
UGLB	Urban Governance and Legislation Branch
UNDAF	United Nations Development Assistance Framework
UNDAP	United Nations Development Assistance Plan
UNCT	United Nations Country Team
UN-Habitat	United Nations Human Settlements Programme
UPDB	Urban Planning and Design Branch
UPO	Urban Provincial Observatory

EXECUTIVE SUMMARY

I. BACKGROUND

Context

The ASUD programme is the central scope of this evaluation. ASUD was developed to address the gaps and deficiencies in urban planning processes and policies at the national and local levels to effectively respond to the complex demands of rapid population and economic growth. It would support cities in developing strategic sustainable urban development plans and implementing demonstration projects, in particular planned city extensions.

UN-Habitat is undertaking this forward-looking evaluation of the ASUD projects in order to assess to what extent the overall support and technical assistance of UN-Habitat has been relevant, efficient, and effective and sustainable, and in order to inform a possible next phase of the ASUD programme. This evaluation complies with UN-Habitat's efforts to undertake systematic and timely evaluations of its various programmes and to ensure that UN-Habitat's evaluations provide full representation of its mandate and activities.

The evaluation of the ASUD programme is to provide the agency, its governing bodies and donors with an independent and forward-looking appraisal of the agency's operational experience, achievements, opportunities and challenges. What will be learned from the evaluation findings is expected to play an instrumental role in

The ASUD Programme

Well before ASUD, UN-Habitat's interventions mainly focused on the provision of basic services and on improving the lives of slum dwellers, which was based on a sector approach to development and aligned with the MDG agenda. However, well into the new millennium, new insights emerged: (i) complex challenges, such as accelerated urban migration, a rising demand for participation, etc. could no longer be resolved by spatial interventions only and (ii) emerging challenges such as environment, a demand for new policy levels, and the globalised economy could not be resolved at the scale of the city.

An opportunity presented itself when in 2011, Spain donated USD 8.9 million to 'support UN-Habitat in its pursuit of sustainable urban development', after which the ASUD programme was conceived to support the development of a normative framework, by piloting tools and approaches in the field. UN-Habitat-ASUD: (i) adopted an integrated approach to planning including strengthened integration of the Branches with the regional and country offices, (ii) narrowed down its focus areas and, (iii) developed planning instruments that were better adapted to the new context.

The ASUD programme was developed under the framework of the agency's Medium-Term Strategic and Institutional Plan 2008-2013 (MTSIP) with the dual goal of: (i) achieving MTSIP's overarching goal of 'sustainable

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