SOCIAL SAFEGUARDS (BASIC & ADVANCED)

Ministry of Construction

TA-8456: Transformation of Urban Management – Part I
Capacity Building for Urban Management

Prepared by

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Content

1. INTRODUCTION TO THE MODULE

1.1 TRAINING OBJECTIVES

The primary objective of this basic training module is to enhance the knowledge on development induced social issues related to the implementation of urban infrastructure projects in the cities and to introduce the concept of 'social safeguards' to address those social issues during the planning, designing, coordinating, managing and implementing such urban infrastructure projects. The training module will raise awareness on social safeguard policies, best practices and operational guidelines globally practiced.

The specific objectives of the training module are to raise awareness on social risks/issues and implications of city infrastructure projects in various sectors; and creating a pathway to establish citywide safeguard framework/plan and application of tools customizing to local conditions.

1.2 TARGET

Addressing development induced social and environment issues requires multi-sectoral approach as it needs institutional framework to bring inputs from the focus areas such as planning, financing, legal and regulation environment and social welfare. Therefore the target group of the basic training module is not restricted but priority will be positioned on building the capacity of the target local authorities. Therefore the priority would be staff of the six local authorities (city managers, urban planners, infrastructure engineers, urban designers, architects, finance managers/accountants, public health officials, social and welfare officers, land officers etc.).

Furthermore it is expected to make provision to include officers from state and central government who are directly involved in planning, designing and implementing city based infrastructure, particularly in the six target cities. As the module is setting the foundation to understand the concept of social and environment safeguards, staff involved in planning and decision-making level would be preferred.

2. OVERALL CONCEPT AND METHODOLOGY

2.1 LINKING COGNITION WITH PEDAGOGIC ELEMENTS

The training module is an essential part of capacity building which has been articulated by UN-Habitat as a strategic approach to share knowledge and experience and develop knowledge products towards institutional capacity building for city planning and management. In this context, the specific aim of the training module is to integrate and reproduce the knowledge generated through studies, case evaluations and researches conducted by various institutions both locally and internationally and to ensure that safeguard issues are adequately appreciated and addressed in project implementations.

The module will first, introduce concepts (which are globally practiced and accepted) in relation to environmental and social safeguards which will protect both the environment and the people from adverse effects of development projects , particularly from citywide infrastructure projects.

The next step of the training module will be to introduce the social safeguard planning, tools and practical guides in a broader perspective to create a foundation to localize and adopt social safeguard tools and mechanisms in the process of planning, designing and implementing citywide infrastructure projects.

Finally, this training module will be able to guide the participants to explore and understand in-depth the roles, functions and tasks of safeguards in the operational practices. A practical work of identifying and analysing development induced social issues will be undertaken during the training through group work/exercise.

The design of the module is framed as an interactive learning environment which facilitates the anchoring of basic levels of knowledge within the city management and create an appetite for higher level of learning for the application of best localized social safeguard practices (through the advanced training module).

2.2 STEPS OF THE TRAINING MODULE

The steps of the training module are designed to build the capacity of staff to understand the social safeguard mechanisms and application modalities.

Step	Theme	Key Areas
Step 1	Understanding the concepts of social safeguards	• philosophy behind the development of the social safeguards • Recognizing development induced social issues in local context
Step 2	Understanding social safeguard plans, tools and practice guides.	• How do Social safeguards help to identify, avoid, and minimize harm to people and the environment • Introduction of process of social safeguard planning and mechanisms
Step 3	Application / Practice	• Localizing and testing social safeguard plans/tools through casework

2.3 PEDAGOGIC METHODOLOGY

Cognitive Element	Pedagogic Methods Used in the Module
Knowledge and Understanding	▪ Presentations on concepts, and international standards including ADB ▪ Interactive questions – answer sessions ▪ Presentation on Social safeguard plans
Application	▪ Discussion of case studies ▪ Group work 1 (case study) ▪ Group work 2 (case study) ▪ Group work 3
Synthesis	▪ Practical work on localizing tools ▪ Preparation of social safeguard framework
Evaluation	▪ Self-evaluation ▪ Peer Evaluation

3. MODULE STRUCTURE

The training module structure is formulated on the basis that each session will lead to enhance the knowledge in a learning ladder, building on fundamentals to practical application of social safeguards in planning, designing and implementing citywide infrastructure.

Day 1: **Social safeguard principles**		
Time	Session	Title of Session
9.00 – 10.30	Session 1	Opening Session
10.30-11.00	Break	
11.00-12.30	Session 2	Development induced Social issues (related to infrastructure projects)
12.30-13.30	Lunch	
13.30-15.00	Session 3	Concept of social safeguards and existing practices
15.00-15.30	Break	
15.30-17.00	Session 4	Identification of social safeguard plans and their relevance

Day 2: **Application of** social **safeguard measures**		
Time	Session	Title of Session
9.00 – 10.30	Session 5	Analysis of social safeguard plans and their relevance (group work)
10.30-11.00	Break	
11.00-12.30	Session 6	Application of social safeguard concept /plans/tools in infrastructure project planning
12.30-13.30	Lunch	
13.30-15.00	Session 7	Challenges in implementing social safeguard measures

4. AIMS OF EACH SESSION

Day 1: **Concept of social and environmental safeguards**				
Session (number and title)	Duration (minutes)	Contents	Objectives	Nature of Training Activities
Session 1: *Opening Session*	90	• Welcome the participants to the course. • Participants introduce themselves and explain their interest/work experience related to environment and social safeguards. • Understand participants' expectations of the training. • Introduce the background, objectives, content and schedule of the workshop, training methodology employed and reading materials.	• To effectively start the session. • To gain an initial understanding of each other. • To make participants aware of the training objectives, programme and methodology. • To make trainer aware of participants' expectations. • To create a participatory learning environment.	• Interactive session: • Trainers' PowerPoint presentation • Participants' verbal presentations • Questions and answers
Session 2: *Development induced Social issues (related to infrastructure projects)*	90	Session would discuss social issues related to infrastructure development.	• Participants to gain knowledge on key social issues due to infrastructure development at city level.	• Brief re-cap of session 1 by the trainer (3 to 4 minutes) • Presentation by the trainer • Discussion/group work • Group presentation • Trainer's inputs through interaction
Session 3: *Concept of social safeguards and existing practices*	90	• Session would explain the concept of social safeguard, their significance and relevance.	• Participants to understand concept of social safeguard. • Participants to gain knowledge to identify social issues through the concept of social safeguards.	• Brief re-cap of session 2 by the trainer (3 to 4 minutes) • PowerPoint presentation

SOCIAL SAFEGUARDS (BASIC)

	Objectives	Nature of Training Activities
ssion will introduce widely used cial safeguard plans and tools and eir relevance.	• Participants to gain knowledge on social safeguard plans and tools.	• PowerPoint presentation • Brief re-cap of session 3 by the trainer (3 to 4 minutes) • Discussion /group work

...mental safeguards

Contents	Objectives	Nature of Training Activities
...cipants to analyse the social plans ...heir relevance to the local context.	• Participants understand how relevant and usefulness of social safeguards in protecting people.	• Brief re-cap of session 4 by the trainer (3 to 4 minutes) • Group discussion • Group presentation • PowerPoint presentation
...ion aims at providing how to apply ...l safeguard measures in ...structure planning.	• To provide knowledge on application of social safeguard measures in infrastructure planning.	• Brief re-cap of session 5 by the trainer (3 to 4 minutes) • Group work • PowerPoint presentation

...mental safeguards

Contents	Objectives	
...on to allow participants to identify ...hallenges in applying social ...uards.	• To provide knowledge to identify possible challenges in applying social safeguards and how to address those challenges.	• Brief re-cap of session 6 by the trainer (3 to 4 minutes) • Guided Group discussion • Group presentations • Trainer's inputs • Summary of the module by the trainer.