
EVALUATION OF BASF STIFTUNG UN-HABITAT PROGRAMME CONTRIBUTION TOWARDS SUSTAINABLE DEVELOPMENT



C_2H_5OH

UN HABITAT
FOR A BETTER URBAN FUTURE

EVALUATION OF BASF STIFTUNG UN-HABITAT PROGRAMME CONTRIBUTION TOWARDS SUSTAINABLE DEVELOPMENT

Florian Steinberg, Geetha Abayasekera,
Marja Edelman, Khizer Omer

DECEMBER 2014

UN  **HABITAT**

Copyright © United Nations Human Settlements Programme 2015

All rights reserved
United Nations Human Settlements Programme (UN-Habitat)
P. O. Box 30030, 00100 Nairobi GPO KENYA
Tel: 254-020-7623120 (Central Office)
www.unhabitat.org

HS/001/16E
ISBN: 978-92-1-132694-9

Disclaimer

The designations employed and the presentation of the material in this publication do not imply the expression of any opinion whatsoever on the part of the Secretariat of the United Nations concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries.

Views expressed in this publication do not necessarily reflect those of the United Nations Human Settlements Programme, the United Nations, or its Member States.

Excerpts may be reproduced without authorization, on condition that the source is indicated.

Acknowledgement

Coordinators:	Andre Dzikus and Pireh Otieno
Authors:	Florian Steinberg, Geetha Abayasekera, Marja Edelman, Khizer Omer
Editor:	Roman Rollnick
Design and Layout:	Andrew Ondoo
Printing:	UNON Publishing Services Section

TABLE OF CONTENTS

LIST OF BOXES, FIGURES AND TABLES	V
ABBREVIATIONS AND ACRONYMS	VI
EXECUTIVE SUMMARY	VII
CHAPTER 01: INTRODUCTION AND OBJECTIVES OF EVALUATION	2
Purpose of Evaluation	2
Specific Objectives of the Evaluation.....	3
CHAPTER 02: BACKGROUND AND CONTEXT	6
Context of Partnership for sustainability	6
CHAPTER 03: APPROACH AND METHODOLOGY	14
Evaluation process and methodology	14
Structure of the report	17
Audience of the report.....	17
Evaluation team.....	17
Review dates	17
Limitations	18
CHAPTER 04: THE PROJECTS REVIEWED	20
Emergency and recovery response.....	22
Haiti: Shelter Resource Centre	22
Sri Lanka: New Fish Market	26
Pakistan-Muzaffarabad: Primary School Reconstruction.....	29
Pakistan-Thatta: Shelter and Latrine Reconstruction	33
India – Cuddalore: Post Tsunami Water and Sanitation Reconstruction	37
Philippines: Permanent Shelter Project	41

China – Gansu: Getting Children Back to School	45
Myanmar: Semi-permanent Schools	48
Bangladesh: High School cum Cyclone Shelter.....	51
India-Nepal: Koshi Water and Sanitation	54
China-Sichuan: Reconstruction of Yongquan Primary School	57
Youth empowerment	60
Brazil: Community Development in Vila Brasilândia, São Paulo	60
Kenya: Kibera-Soweto East Resource Centre	64
Global: Urban Youth Fund - E-Learning Tool	72
Kenya-Mandera: Construction of water and sanitation systems	76
Mozambique: Multifunctional Renewable Energy Centre	79
Mexico: Water and Sanitation in Schools	82
India-Mangalore: Water and Sanitation	86
Changes during implementation	90
Targeted beneficiaries	90
Comparative advantages of projects supported by UN-Habitat and BASF Stiftung	90
Project management and implementation modalities.....	90
CHAPTER 05: MAIN FINDINGS	92
Relevance of BASF Stiftung’s support	95
Effectiveness of BASF Stiftung-supported projects.....	96
Efficiency.....	99
Impact and Replicability.....	102
Sustainability.....	107
Assessment of Project Management and Implementation Experiences.....	110
CONCLUSIONS	118
LESSONS	120
ANNEX 1: TERMS OF REFERENCES	124
ANNEX 2: LIST OF BASF STIFTUNG-SUPPORTED PROJECTS	136

LIST OF BOXES, FIGURES AND TABLES

BOXES

Box 1 BASF and Sustainability	7
Box 2 Definitions of Corporate Social Responsibility:	9
Box 3 Sustainable Urban Development as defined by UN-Habitat	11

FIGURES

Figure 1 Cross-Cutting Dimensions of Analysis	17
---	----

TABLES

Table 1 Summary Project Performance.....	92
Table 2 Overview of Main Findings: Relevance, Effectiveness, Efficiency, Impact, Replicability, Sustainability	94
Table 3 Project Experiences.....	111
Table 4 BASF Stiftung and UN-Habitat – A Comparison of the Partners	116

ABBREVIATIONS AND ACRONYMS

ADEL	Agency for Local Economic Development	ROAP	Regional Office for Asia and the Pacific
ANCOP	Answering the Cry of the Poor	SMC	school management committee
BASF	Badische Anilin und Soda Fabriken	SMART	specific, measurable, achievable, relevant and timebound
BDO	Banco de Oro	SOP	standard operating procedures
CDHU	Corporation of Development of Urban Habitat (in São Paulo)	sqm	square meter
CSR	Corporate Social Responsibility	sqkm	square kilometer
GMC	Galle Municipal Corporation	SRC	Shelter Resource Centre
ha	hectare	TOC	theory of change
HVBWSHE	Human Values Based Water, Sanitation and Hygiene Education	TOR	terms of references
IMTA	Instituto Mexicano de Tecnologías del Agua	UN	United Nations
KSERC	Kibera Soweto East Resource Centre	UNEP	United Nations Environmental Programme
LEAD	inspiring leaders for a sustainable world	UNICEF	United Nations Children Fund
LGU	local government unit	UN-Habitat	United Nations Human Settlements Programme
MCC	Mangalore City Corporation	UNOPS	United Nations Office for Project Services
M&E	monitoring and evaluation	USD	United States Dollar
MXN	Mexican dollar	WASH	water, sanitation and health
NHA	National Housing Authority	WRUA	Water Resource Users Association
ROLAC	Regional Office for Latin America and the Caribbean	EUR	Euro (currency)

EXECUTIVE SUMMARY

The partnership

The BASF Stiftung and UN-Habitat partnership was established in 2005. Its major thrust is the (i) exchange of best practices; (ii) sustainable reconstruction projects for disaster areas; and (iii) dissemination of information and application of environmental technologies. Since the inception of this partnership, BASF Stiftung has funded 19 projects with a total value of USD 4,217,309. But the actual value of these projects may be higher, taking into account the many additional in-kind contributions of local beneficiary communities, local project partners, and UN-Habitat. Apart from these additional contributions (cash and in-kind), all projects have generated great enthusiasm and interest by a large diversity of stakeholders.

Purpose and approach of the evaluation

The objective of this evaluation is to be forward-looking and to learn from past and ongoing projects for future joint undertakings. The evaluation provides clear leads on what has worked

(ant), with support of Geetha Abayasekera (projects in Asia), Marja Edelman (Brazil and Haiti) and Khizer Omer (Pakistan). The evaluation was requested by BASF Stiftung which wanted to obtain an informed stocktaking of almost 10 years of project work. The intended users of this evaluation are both the donor (BASF Stiftung) and UN-Habitat, and its Urban Basic Services Branch.

Main findings of the Evaluation

The findings show that the majority of projects (12/19) have achieved the expected results. Four projects (4/19, i.e. Haiti, Philippines, China-Sichuan and Brazil) have partially achieved the expected results, with the Brazil project still incomplete, showing a good potential to move up to category of “achieved” status. One project (1/19) has not achieved the expected results (Sri Lanka). Another two projects (2/19), the Global Youth Fund and E-Learning projects, are ongoing and thus still to be achieved and assessed.

预览已结束，完整报告链接和二维码如下：

https://www.yunbaogao.cn/report/index/report?reportId=5_18649