

Mid-Term Evaluation of the CITIES AND CLIMATE CHANGE INITIATIVE



Mid-Term Evaluation of the **CITIES AND CLIMATE CHANGE INITIATIVE**

December 2012

Evaluation Report 2/2012

Mid-Term Evaluation of the Cities and Climate Change Initiative

Published in Nairobi in December 2012 by UN-Habitat
Copyright © United Nations Human Settlements Programme 2012

Produced by Urban Planning and Design Branch
United Nations Human Settlements Programme (UN-Habitat)
P.O. Box 30030, 00100 Nairobi GPO, Kenya
Tel +254 20 762 5404

HS Number: HS/012/13E
ISBN Number (Series): 978-92-1-132028-2
ISBN Number (Volume): 978-92-1-132550-8

Disclaimer

The designations employed and the presentation of material in this report do not imply the expression of any opinion whatsoever on the part of the Secretariat of the United Nations concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries, or regarding its economic system or degree of development.

The views expressed in this publication do not necessarily reflect the views of the United Nations Human Settlements Programme or its Governing Council.

Acknowledgements

Coordinator: Raf Tuts
Supervisor: Robert Kehew
Team Leader: Tom M. Wolters
National Consultants: Diego Carrión Mena (Ecuador)
Eddie Nsamba-Gayiiya (Uganda)
Thilak Hewawasam (Sri Lanka)
Publication Coordinator: Ndinda Mwongo
Editor: Vicky Quinlin
Design and layout: Eugene Papa
Printer: UNON, Publishing Services Section, Nairobi
ISO 14001:2004-certified

TABLE OF CONTENTS

LIST OF BOXES.....	III
LIST OF TABLES.....	III
ABBREVIATIONS AND ACRONYMS	IV
EXECUTIVE SUMMARY	V
1 INTRODUCTION.....	1
1.1 Context and project background	1
1.2 Objectives of the Mid-Term Evaluation	1
1.3 Evaluation process and methodology	2
1.3.1 Report structure	2
1.3.2 Audience of the report.....	2
1.3.3 Evaluation team	2
1.3.4 Methodology	2
1.4 Review date	4
1.5 Limitations	4
2 OVERVIEW OF CCCI.....	5
2.1 SUD-Net & Predecessor Programmes	5
2.2 SUD-Net & CCCI	5
2.3 Changes in context during implementation	5
2.4 Target beneficiaries	5
2.5 Institutional and partner priorities	5
2.5.1 UN-Habitat	5
2.5.2 UN-Habitat's collaborating partner agencies	6
2.5.3 CCCI partner cities.....	6
2.6 Comparative advantage of UN-Habitat and CCCI vis à vis other organizations and programmes	7
2.7 CCCI execution modality and project management arrangements.....	7
2.7.1 CCCI Project Management Unit and Technical Support Team	8
2.7.2 The CCCI process model	9
3 MAIN FINDINGS	11
3.1 Introduction	11
3.2 Relevance of CCCI.....	11
3.2.1 Target beneficiaries	11

3.2.2 UN-Habitat’s collaborating partner agencies	11
3.2.3 CCCI partner cities	11
3.2.4 Design logic	11
3.2.5 Relevance: Conclusions, lessons learnt and recommendations	13
3.3 Efficiency (in terms of project progress and performance).....	13
3.3.1 Reality of timeframe	14
3.3.2 Adequacy of execution modality and project management arrangements	14
3.3.3 Summary of progress and achievements in terms of outcomes	16
3.3.4 Project performance in terms of outputs	18
3.3.5 Budget and resource utilization	19
3.3.6 Efficiency: Conclusions, lessons learnt and recommendations	22
3.4 Effectiveness and impacts	24
3.4.1 Effectiveness	24
3.4.2 Impacts on beneficiaries	32
3.4.3 Effectiveness and impacts: Conclusions, lessons learnt and recommendations	35
3.5 Sustainability	36
3.5.1 Networks	36
3.5.2 Policy change	36
3.5.3 Tools and knowledge management	36
3.5.4 Sustainability: Conclusions, lessons learned and recommendations	37
4 CONCLUSIONS.....	39
4.1 Relevance of CCCI.....	39
4.2 Efficiency of CCCI interventions	39
4.3 Effectiveness and impacts of CCCI	39
4.4 Sustainability	40
ANNEXES	41
Annex I: Terms of Reference for CCCI Mid-Term Evaluation	41
Annex II: List of persons interviewed and questionnaire respondents	49
Annex III: Key Documents Reviewed	50
Annex IV: List of CCCI countries and cities (with year joined the Initiative)	52
Annex V: Divergence of CCCI and SUD-Net.....	53
Annex VI: CCCI Mid-Term Evaluation: Questionnaire for CCCI Partners.....	54
Annex VII: Mid-Term Evaluation of the Cities and Climate Change Initiative (CCCI).....	56
Annex VIII: UN-Habitat Thematic Branches and Units	71
Annex IX: Detailed Analysis of Logical Frameworks for CCCI Phases I, II and III.....	72
Annex X: Indicators list for CCCI performance monitoring	75

LIST OF BOXES

Box 1.1:	CCCI participating cities	2
Box 2.1:	Selected CCCI Partners	6
Box 2.2:	List of thematic areas in which CCCI is engaged	8
Box 2.3:	CCCI Process Model	9
Box 3.1:	CCCI resource mobilization efforts in Sri Lanka	23
Box 3.2:	Key policy advocacy events under CCCI (to date)	25
Box 3.3:	List of main capacity building and advocacy tools developed under CCCI (Phases I & II).....	26
Box 3.4:	Partners launch Global Protocol for Community-Scale Greenhouse Gas Emissions.....	27
Box 3.5:	National-level policy achievements	29
Box 3.6:	Climate Change Adaptation and Mitigation Strategy for Esmeraldas.....	29
Box 3.7:	CCCI/Philippines support to coordinated UN System activities, and international study tours ...	29
Box 3.8:	Climate change and disaster management in Sorsogon City, the Philippines: strengthening planning aspects of disaster preparedness	31
Box 3.9:	Primary school supports innovative approach to flood risk management in Kampala.....	32
Box 3.10:	UN-Habitat and UNEP join forces on ecosystem-based adaptation	33
Box 3.11:	UN-Habitat Global Report on Human Settlements 2011 – Cities and climate change	34

LIST OF TABLES

Table 2.1:	CCCI Niche areas and comparative advantage	7
Table 3.1:	Accomplishment of outcomes	17
Table 3.2:	Progress and achievement of project outputs.....	18
Table 3.3:	Programme funds in USD and delivery rates (%).....	20
Table 3.4:	CCCI Expenditures at global, regional and national/local levels: Phases I & II (2008-2011; USD)	20
Table 3.5:	CCCI: Resources mobilized at global level (2008-2015; USD)/a	21
Table 3.6:	CCCI: Resources mobilized and co-funding at regional, national and local levels (August 2008-July 2012; USD).....	22

ABBREVIATIONS AND ACRONYMS

ADB	African Development Bank
CCCA	Cities and Climate Change Academy
CCCI	Cities and Climate Change Initiative
CCS	[UN-Habitat] Climate Change Strategy
GHG	Green House Gas
HQ	[UN-Habitat] Headquarters
ICI	[German Government] International Climate Initiative
ICLEI	ICLEI - Local Governments for Sustainability (formerly: International Council for Local Environmental Initiatives)
IPCC	Intergovernmental Panel on Climate Change
JWP	[UN-Habitat/UNEP/WB] Joint Work Programme
MDG	Millennium Development Goal
MoU	Memorandum of Understanding
MTE	Mid-Term Evaluation
MTSIP	[UN-Habitat] Medium-Term Strategy and Institutional Plan
NGO	Non-Governmental Organization
NORAD	Norwegian Agency for Development Cooperation
PMU	Project Management Unit
ROAP	[UN-Habitat] Regional Office for Asia and the Pacific
RUAF	Resource Centres on Urban Agriculture and Food Security
SCP/LA21	[UN-Habitat] Sustainable Cities Programme/Localising Agenda 21 Programme
SUD-Net	[UN-Habitat] Sustainable Urban Development Network
SWOC	Strengths-Weaknesses-Opportunities-Constraints [Analysis]
ToR	Terms of Reference
ToT	Training of Trainers
TST	Technical Support Team
UNDA	United Nations Development Account
UNEP	United Nations Environment Programme
UNFCCC	United Nations Framework Convention on Climate Change
UN-Habitat	United Nations Human Settlements Programme
UNISDR	United Nations International Secretariat for Disaster Reduction

预览已结束，完整报告链接和二维码如下：

https://www.yunbaogao.cn/report/index/report?reportId=5_18748

