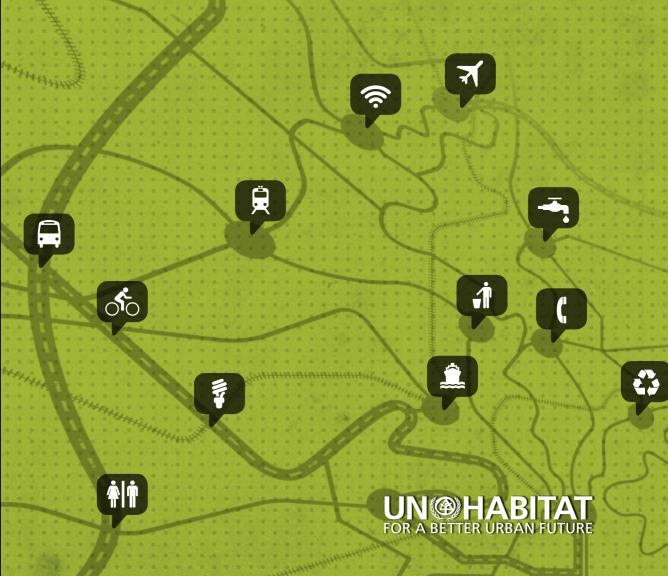
THE GLOBAL URBAN ECONOMIC DIALOGUE SERIES

GUIDE TO FINANCE INFRASTRUCTURE AND BASIC SERVICES





GUIDE TO FINANCE INFRASTRUCTURE AND BASIC SERVICES

United Nations Human Settlements Programme Nairobi 2013



The Global Urban Economic Dialogue Series

Guide to Finance Infrastructure and Basic Services

First published in Nairobi in 2013 by UN-Habitat. Copyright © United Nations Human Settlements Programme 2013

All rights reserved

United Nations Human Settlements Programme (UN-Habitat)

P. O. Box 30030, 00100 Nairobi GPO Kenya Tel: 254-020-7623120 (Central Office)

www.unhabitat.org

HS/023/13E

ISBN(Series): 978-92-1-132022-0 ISBN(Volume): 978-92-1-132561-4

Disclaimer

The designations employed and the presentation of the material in this publication do not imply the expression of any opinion whatsoever on the part of the Secretariat of the United Nations concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers of boundaries.

Views expressed in this publication do not necessarily reflect those of the United Nations Human Settlements Programme, the United Nations, or its Member States.

Excerpts may be reproduced without authorization, on condition that the source is indicated.

Acknowledgements:

Director:

Chief Editor and Manager:

Principal Author:

Contributor:

Naison Mutizwa-Mangiza

Xing Quan Zhang

Stephen J Bailey

Jaana Mioch

Assistants: Joy Munene, Agnes Ngana

English Editor: Roman Rollnick
Design and Layout: Andrew Ondoo

FOREWORD



Urbanization is one of the most powerful, irreversible forces in the world. It is estimated that 93 percent of the future urban population growth will occur in the cities of Asia and

Africa, and to a lesser extent, Latin America and the Caribbean.

We live in a new urban era with most of humanity now living in towns and cities. Global poverty is moving into cities, mostly in developing countries, in a process we call the *urbanisation of poverty*.

The world's slums are growing and growing as are the global urban populations. Indeed, this is one of the greatest challenges we face in the new millennium.

The persistent problems of poverty and slums are in large part due to weak urban economies. Urban economic development is fundamental to UN-Habitat's mandate. Cities act as engines of national economic development. Strong urban economies are essential for poverty reduction and the provision of adequate housing, infrastructure, education, health, safety, and basic services.

The Global Urban Economic Dialogue series presented here is a platform for all sectors of the society to address urban economic development and particularly its contribution to addressing housing issues. This work carries many new ideas, solutions and innovative best practices from some of the world's leading urban thinkers and practitioners from international organisations, national governments, local authorities, the private sector, and civil society.

This series also gives us an interesting insight and deeper understanding of the wide range of urban economic development and human settlements development issues. It will serve UN member States well in their quest for better policies and strategies to address increasing global challenges in these areas

Joan ClosUnder-Secretary-General of the United
Nations, Executive Director, UN-Habitat

TABLE OF CONTENTS

FOREWORD				
ABBREVIATIONS AND ACRONYMS VI				
CHAPTER 1	INFRASTRUCTURE REQUIREMENTS IN DEVELOPING COUNTRIES	1		
CHAPTER 2	DOES INVESTMENT IN INFRASTRUCTURE PAY FOR ITSELF?	4		
CHAPTER 3	FUNDING AND FINANCING INFRASTRUCTURE	7		
CHAPTER 4	FILLING THE INFRASTRUCTURE FUNDING GAP	8		
CHAPTER 5	INFRASTRUCTURE FINANCING MODELS	20		
CHAPTER 6	FURTHER ANALYSIS OF PFIS AND PPPS	29		
CHAPTER 7	FURTHER ANALYSIS OF TAXES TO FINANCE INFRASTRUCTURE	33		
CHAPTER 8	FURTHER ANALYSIS OF INFRASTRUCTURE CHARGES	39		
CHAPTER 9	OVERVIEW OF FUNDING AND FINANCING MODELS	44		
CHAPTER 10	THE BROADER CONTEXT OF INFRASTRUCTURE INNOVATIONS	47		
CHAPTER 11	OVERVIEW AND CONCLUSIONS	53		
CHAPTER 12	RECOMMENDATIONS FOR DEVELOPING COUNTRIES	56		
REFERENCES 59				

ABBREVIATIONS AND ACRONYMS

AD	Anaerobic Digestion	MOs	Mutual Organisations
AIDS	Acquired Immune Deficiency Syndrome	NCDs	Non-Communicable Diseases
AMS	Asset Management System	NPD	Non-Profit Distributing
BIDs	Business Improvement Districts	OECD	Organisation for Economic Co-operation
BRS	Business Rate Supplement		and Development
CBOs	Community Buy-Outs	PBF	Prudential Borrowing Framework
CIL	Community Infrastructure Levy	PFIs	Private Finance Initiatives
CFOs	Chief Finance Officers	PGS	Planning Gain Supplement
EU	European Union	Piigs	Portugal, Italy, Ireland, Greece and Spain
FTT	Financial Transactions Tax	PPPs	Public-Private Partnerships
GIB	Green Investment Bank	RAB	Regulatory Asset Base
GDP	Gross Domestic Product	SCT	Social Cost Tariff
GPS	Global Positioning Systems	SIBs	Social Investment Bonds
G20	The Group of 20 Finance Ministers and	SMEs	Small And Medium-Sized Enterprises
GZO	Central Bank Governors	SPC	Statutory Planning Charge
HIV	Human Immunodeficiency Virus	SPV	Special Purpose Vehicle
ICTs	Information and Communications Technologies	TB	Tuberculosis
		TIF	Tax Increment Financing
IFRS	International Financial Reporting Standards	UK	United Kingdom
ISAs	Individual Savings Accounts	USA	United States of America
IT	Information Technology	VAT	Value Added Tax
LVT	Land Value Tax	WHO	World Health Organisation
		WTO	World Trade Organisation
MDGs Millennium Development Goals			

CHAPTER 1 INFRASTRUCTURE REQUIREMENTS IN DEVELOPING COUNTRIES

Global infrastructural investment needs are enormous, amounting to tens of trillions of American dollars. The OECD (2006 & 2007) estimates that some USD4 trillion are required for investment in electricity supply, USD5 trillion for roads, USD8 trillion for telecoms and USD18 trillion for water supply and sewerage systems. Because of their rapid population growth most of this investment is required in developing countries, population in most developed countries being largely static or even forecast to decline over the next few decades due to falling rates of female fertility.

Not only are the populations of developing countries fast growing, they are also becoming increasingly urbanised. In 2010 50.8% of the world's population lived in urban areas, higher (75.2%) in the more developed regions of Europe, North America, Australia, New Zealand and Japan and lower (45.5%) in the less developed regions of Africa, Asia (excluding Japan), Latin America and the Caribbean and Oceania (excluding Australia and New Zealand). However, the proportionate rate of urbanisation is expected to be more than twice as fast in less developed regions than in more

That fleeting opportunity for economic and social development is in danger of being missed because of the vicious cycle of poverty, food insecurity and inequality leading to high death rates that, in turn, encourages and sustains high birth rates amongst a largely illiterate and repressed female population, especially in African countries.

As the populations of developing countries increase so too does the incidence of disease and health inequalities which severely hinder economic growth in those countries. Solutions to health problems require environmental sustainability (especially to improve access to safe drinking water), improved access to affordable medicines and health workers and promotion of women's rights and education reduce illiteracy and increase their employability. Moreover, millions of people still succumb to communicable diseases such as Aids, malaria (the geographic spread of which is expected to increase as a result of global warming) and tuberculosis (TB). The most cost effective way of dealing with these issues involves relatively small-scale community-level infrastructure including illhealth prevention services.

预览已结束,完整报告链接和:

https://www.yunbaogao.cn/report/index/report?re