

INTERNATIONAL HEALTH REGULATIONS (2005)

STATE PARTY SELF-ASSESSMENT ANNUAL REPORTING TOOL

Second Edition



World Health
Organization

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ACRONYMS

AAR	After Action Review
AMR	Antimicrobial resistance
EHS	Essential health services
EOC	Emergency operation centre
HCAI	Health care acquired infections
IAEA	International Atomic Energy Agency
IAR	Intra-action review
IATA	International Air Transport Association
ICAO	International Civil Aviation Organization
IHR	International Health Regulations (2005)
INFOSAN	International Food Safety Authorities Network
IPC	Infection prevention and control
NFP	National IHR Focal Point
PHEIC	Public health emergency of international concern
PoE	Point of entry
RCCE	Risk communication and community engagement
SimEx	Simulation exercise
SOP	Standard operating procedure
SPAR	States Party self-assessment annual reporting tool
SSC	Ship Sanitation Certificates
SSCC	Ship Sanitation Control Certificate
SSCEC	Ship Sanitation Control Exemption Certificate
WASH	Water, sanitation and hygiene
WHA	World Health Assembly
WHO	World Health Organization

INTRODUCTION

Under the International Health Regulations (2005) – (IHR) (available at <https://www.who.int/publications/item/9789241580496>), States Parties are obliged to develop and maintain minimum core capacities for surveillance and response, including at points of entry, in order to detect, assess, notify and respond to any potential public health events of international concern.

Article 54 of the IHR request that States Parties and the Director-General shall report to the World Health Assembly (WHA) on the implementation of these Regulations as decided by the WHA. In 2008, the WHA, through the adoption of Resolution WHA61(2), and later on 2018 with the Resolution WHA71(15), confirmed that “that States Parties and the Director-General shall continue to report annually to the WHA on the implementation of the International Health Regulations (2005), using the self-assessment annual reporting tool”.

This IHR States Party self-assessment annual reporting tool (SPAR) is intended to support State Parties to fulfil these obligations. The submission of IHR Annual Reports using the SPAR tool allows the WHO Secretariat to compile a consistent report for the WHA.

THE SPAR TOOL:

The annual report questionnaire used by States Parties from 2010 to 2017 was revised in 2018, with changes to the format, capacities and indicators. This version renamed the States Party self-assessment annual reporting tool (SPAR) was used for reporting from 2018 to 2020.

Beginning in 2021 the SPAR was reviewed and further improved, considering the initial experience of countries, to the COVID-19 pandemic. While improving the tool, these changes may mean limitations to the comparison of scores from previous years but will contribute to the better understanding of preparedness strengths and gaps based on the global experience of the COVID-19 pandemic. The second edition of SPAR (2021) has 15 capacities and 35 indicators compared to 13 capacities and 24 indicators in the first edition. Details of the changes are included in Annex 1.

The SPAR IHR annual report database is generated from information received from States Parties, based on national self-assessment and self-reporting. For that purpose WHO has made available an electronic platform for online reporting (e-SPAR, available at: <https://extranet.who.int/e-spar/>).

Exceptionally, standardized interactive PDF and Excel forms (Excel file is used sharing specific detailed data on identified/designated port, airport and ground crossings) may be used when difficulties to access the Internet arise. Submission of States Parties annual reports in other formats will be considered as a submission of an annual report to the WHA but cannot be considered for statistics of capacities as, since it will not allow WHO Secretariat to analyse the data in a standardized manner.

PROCESSING OF DATA

WHO receives the data send by each State Party, from

Production of statistics by WHO is processed as follows:¹

At the indicator level:

The e-SPAR tool is based on the assessment of a level of performance for each the indicator, on a "1 to 5" scale. When processed, the score of each indicator level is classified as a percentage of performance along the "1 to 5" scale, e.g., for a country selecting level 3 for indicator 2.1, the level of performance is expressed as: $3/5 \times 100 = 60\%$

At the capacity level:

The level of performance at the capacity level is expressed as the average of the indicators related to this capacity, e.g., for a country selecting Level 3 for indicator 2.1 and Level 4 for indicator 2.2. the level of performance of Capacity 2 will be expressed as: $[(3/5 \times 100) + (4/5 \times 100)] / 2 = 70\%$

E-SPAR ELECTRONIC PLATFORM FOR ONLINE REPORTING

The e-SPAR electronic platform is available in all six United Nations official languages (Arabic, Chinese, English, French, Russian and Spanish), with statistical reports, data analysis (with maps, graphs, tables, PDF and Excel files), important links, references, manuals, videos and interactive tutorials.

To ensure IHR-NFP access to the restricted part of e-SPAR for a State Party, inclusive for online reporting and accessing detailed information of all annual reports since 2010, the designated national authorities responsible for IHR annual reporting are requested to update their contacts details in the WHO contact list for National IHR Focal Points, as appropriate, by contacting the WHO Secretariat (ihradmin@who.int). Once contact details have been verified and added to the WHO IHRADMIN database, the designated officer is granted access to e-SPAR and is

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