# **ENGAGING PRIVATE HEALTH CARE PROVIDERS** IN TB CARE AND PREVENTION: A LANDSCAPE ANALYSIS

SECOND EDITION

















## **ENGAGING PRIVATE HEALTH CARE PROVIDERS IN TB CARE AND PREVENTION:** A LANDSCAPE ANALYSIS















Engaging private health care providers in TB care and prevention: a landscape analysis, second edition

ISBN 978-92-4-002703-9 (electronic version) ISBN 978-92-4-002704-6 (print version)

#### © World Health Organization 2021

Some rights reserved. This work is available under the Creative Commons Attribution-NonCommercial-ShareAlike 3.0 IGO licence (CC BY-NC-SA 3.0 IGO; https://creativecommons.org/licenses/by-nc-sa/3.0/igo).

Under the terms of this licence, you may copy, redistribute and adapt the work for non-commercial purposes, provided the work is appropriately cited, as indicated below. In any use of this work, there should be no suggestion that WHO endorses any specific organization, products or services. The use of the WHO logo is not permitted. If you adapt the work, then you must license your work under the same or equivalent Creative Commons licence. If you create a translation of this work, you should add the following disclaimer along with the suggested citation: "This translation was not created by the World Health Organization (WHO). WHO is not responsible for the content or accuracy of this translation. The original English edition shall be the binding and authentic edition".

Any mediation relating to disputes arising under the licence shall be conducted in accordance with the mediation rules of the World Intellectual Property Organization (<a href="http://www.wipo.int/amc/en/mediation/rules/">http://www.wipo.int/amc/en/mediation/rules/</a>).

**Suggested citation**. Engaging private health care providers in TB care and prevention: a landscape analysis, second edition. Geneva: World Health Organization; 2021. Licence: <u>CC BY-NC-SA</u> 3.0 IGO.

Cataloguing-in-Publication (CIP) data. CIP data are available at <a href="http://apps.who.int/iris">http://apps.who.int/iris</a>

Sales, rights and licensing. To purchase WHO publications, see <a href="http://apps.who.int/bookorders">http://apps.who.int/bookorders</a>. To submit requests for commercial use and queries on rights and licensing, see <a href="http://www.who.int/copyright">http://www.who.int/copyright</a>

**Third-party materials.** If you wish to reuse material from this work that is attributed to a third party, such as tables, figures or images, it is your responsibility to determine whether permission is needed for that reuse and to obtain permission from the copyright holder. The risk of claims resulting from infringement of any third-party-owned component in the work rests solely with the user.

**General disclaimers.** The designations employed and the presentation of the material in this publication do not imply the expression of any opinion whatsoever on the part of WHO concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. Dotted and dashed lines on maps represent approximate border lines for which there may not yet be full agreement.

The mention of specific companies or of certain manufacturers' products does not imply that they are endorsed or recommended by WHO in preference to others of a similar nature that are not mentioned. Errors and omissions excepted, the names of proprietary products are distinguished by initial capital letters.

All reasonable precautions have been taken by WHO to verify the information contained in this publication. However, the published material is being distributed without warranty of any kind, either expressed or implied. The responsibility for the interpretation and use of the material lies with the reader. In no event shall WHO be liable for damages arising from its use.

Graphics and layout by Emmanuelle Intini

### **Contents**

Acknowledgements	iv
Executive Summary	<b>.</b> V
Private sector engagement needs to be urgently expanded to reach End TB	V
Moving from Policy to Practice: We know how to do it	V
Strengthening private provider engagement: What more is needed	
Emerging opportunities for increased engagement	
A call to action: taking engagement of private providers to scale	
4 call to action, taking engagement of private providers to scale	VII
I: INTRODUCTION	1
Background, purpose and outline of document	
Scope and definitions	
Plus ça change,	
Private healthcare in low- and middle-income countries	
Why engage private providers for TB?	
Published evidence of effectiveness of private provider engagement	8
Evolution of WHO guidance	10
Status of private provider engagement in high-burden Countries	12
PPM Roadmap	
TBPPM Learning Network	
PPM during COVID-19	
Tim daming do VID To	'
2: ISSUES IN PRIVATE PROVIDER ENGAGEMENT FOR TB CARE	17
Constraints to private provider engagement for TB	19
Lessons from private provider engagement in other health areas	
Lessons from private provider engagement in COVID-19	
Evolution of institutional models	
Social health insurance and tB in indonesia and Philippines	
What we know about how to engage private providers	
Nhat we know about how private provider engagement may be stimulated	
Performance management	
mplementers and technical agencies	32
Donors and funding	34
3: RECENT COUNTRY EXPERIENCES	.43
Bangladesh	43
ndia	
ndonesia	
Myanmar	
Nigeria	
Pakistan	
Philippines	
-Tillipplines	04
4: THE WAY FORWARD	57
Principles for change in mixed health systems	
Developments likely to improve prospects for private provider engagement	
Recommendations	60
ANNIEVA DRIVATE FOR DROEIT BROVERED ENGAGENET FOR	
ANNEX 1. PRIVATE FOR-PROFIT PROVIDER ENGAGEMENT FOR	62
TB IN 7 PRIORITY COUNTRIES, 2017	.03
ANNEX 2. POTENTIAL PERFORMANCE INDICATORS FOR PRIVATE	
PROVIDER ENGAGEMENT	.65
RIBLIACE A DLIV	67

### **Acknowledgements**

The writing and overall coordination of this document were led by the World Health Organization Global TB Programme, the Public-Private Mix Working Group of the Stop TB Partnership and the TB PPM Learning Network.

This document is an update of the 2018 Landscape Analysis that was based on an analysis originally developed under contract to the Bill & Melinda Gates Foundation. Preparation, publication and launch of this document were financially supported by a grant from the United States Agency for International Development (USAID).

**Authors:** Guy Stallworthy, Hannah Monica Dias, William Wells, Madhukar Pai, Petra Heitkamp and Joel Klinton

Additional contributions: Jacob Creswell, Mohammed Yassin, Hong Wang, Shelly Malhotra, Chijioke Osakwe, Benyamin Sihombing, Puneet Dewan, Daniel Chin, Christy Hanson, Aamir Safdar, Farhan Kabir Patwary, Khalid Farough, Unyeong Go, Kyung Hyun, Michael Osberg, Bhavin Vadera, Mukund Uplekar, Razia Fatima, Laeeq Ahmad, Rajendra Yadav, David Clarke, Imran Pambudi, Celine Garfin, Omoniyi Amos Fadare, K.S. Sachdeva, Ayodele Awe, Obioma O. Chijioke-Akaniro, Hussain Hadi, Nasim Akhtar, Cho Cho San, Shamiul Islam, Nazis Arefin Saki and other members of the PPM Working Group.

#### Disclaimer

The findings and conclusions in this publication are those of the authors and do not necessarily represent the views of the U.S. Agency for International Development or the U.S. Government.

### **Executive Summary**

# Private sector engagement needs to be urgently expanded to reach End TB targets

TB is preventable and curable, but current efforts to find, treat and cure everyone who gets ill with the disease fall short. Of the 10 million people who fell ill with TB in 2019, only 7.1 million were officially notified to national authorities and reported to WHO. In 2019, 56% of the 2.9 million "missing people" with TB were in seven countries in which private providers accounted for more than two thirds of initial care-seeking: Bangladesh, India, Indonesia, Myanmar, Nigeria, Philippines and Pakistan. However, in these countries, private for-profit providers contributed just 28% of total TB notifications, equivalent to only 20% of estimated TB incidence in 2019. These seven countries have been designated as the "Big Seven" PPM priority countries(1). Since 2015, the total number of private for-profit TB notifications in these countries has increased nearly three-fold to more than 1.1 million. While this progress is promising, the challenge now is to further increase case-finding while extending the full package of publicly funded, quality-assured TB services to these private patients. The COVID-19 pandemic has also put gains at risk with a 21% drop in notifications across 84 countries in 2020 – including in several PPM priority countries.

Closing gaps and ensuring early access to diagnosis and treatment will require strengthened and expanded private provider engagement. Engaging private providers is also essential for reducing unnecessary deaths and suffering caused by inappropriate treatment, slowing the emergence of drug resistance caused by substandard care, reducing transmission by shortening delays to treatment, reducing catastrophic costs and impoverishment, and accelerating uptake of new tools.

As countries move towards Universal Health Coverage (UHC) and towards reaching the TB-related targets in the Sustainable Development Goals and End TB Strategy, they need to harness the full potential of private providers. TB programmes can be pioneers in this area by accelerating the strategic engagement of private health care providers. Access to essential TB services across both the public and private sectors should be ensured especially in emergencies such as the COVID-19 pandemic.

#### Moving from Policy to Practice: We know how to do it

The need to engage private healthcare providers for TB has been acknowledged since the early 1990s and has featured briefly in many global and national strategies and plans since 2001, including the recent WHO End TB Strategy and the Stop TB Global Plan to End TB. Since 2002, WHO has issued and revised a dozen guidance documents addressing various aspects of Public-Private Mix, including how to engage private providers, how to advocate and plan for their engagement, and how to measure progress. Published literature on private provider engagement has increased significantly: a systematic review in 2015 found 78 studies, covering 48 projects in 15 countries (2). Much has been learnt about how to successfully engage private providers for TB care, although there remains considerable room for adaptation and innovation. However, this issue has not had priority or investment commensurate with the scale of the problem. Several countries have begun to slowly take public-private mix approaches to scale, yet private provider engagement (PPE) has been one of the most difficult TB technical areas to move from donor to domestic funding.

A root cause of this has been a strong public sector preference among those who manage TB programmes and those who fund them. It also reflects the ongoing journey, not specific to TB programmes, in which the public sector only gradually gains capacity to govern private health providers effectively, as countries develop. In recent years, a changing mindset towards the private sector has been evolving,

with countries such as India, Bangladesh, Myanmar and Pakistan achieving significant scale in private provider engagement.

In these countries, engagement of large numbers of private primary care providers has been led by strong non governmental organizations (NGOs) acting as intermediaries between providers and National TB Programmes (NTPs). Recently, India has begun to demonstrate unprecedented commitment to engaging private providers by setting ambitious targets (2 million private TB notifications per year by 2020), allocating substantial budgets and mobilizing strong political support at all levels. The Philippines and Indonesia, which had previously focused attention on engaging relatively small numbers of high-volume private hospitals, have recently begun to expand engagement of private primary care providers and redouble efforts to leverage social health insurance schemes. Indonesia is pursuing a model based more on engagement directly from the public sector to private providers with the support of professional associations, rather than using other intermediary organizations.

## Strengthening private provider engagement: What more is needed

Support for private provider engagement by external technical and financial partners of NTPs should be based on an appreciation of underlying systemic constraints as well as proximal determinants. There is a role for guidelines, plans, strategies and pilot projects, but they need to be complemented by efforts to increase basic understanding of patient and provider behaviors and of approaches to exercising stewardship over the whole health sector. On the public sector side, it is important to build system capacities for strategic purchasing for both curative and public health services: mandatory notification decrees and other regulatory approaches have a role, but most effort should go into the development and deployment of enablers and motivators to encourage private provider participation. On the private side, there is usually a need to empower intermediary organizations capable of engaging and aggregating large numbers of private providers on behalf of the program, at least until such time as social health insurance or other large-scale purchasing platforms are developed and mature.

### **Emerging opportunities for increased engagement**

Recent increases in notifications are largely driven by high level commitments made at the 2018 UN High Level Meeting on TB and through initiatives such as the WHO Director General flagship initiative Find. Treat. All. #EndTB (with the Global Fund and the Stop TB Partnership), the Global Fund's strategic initiative to find an additional 1.5 million people with TB by the end of 2019, and with continued support from the US Agency for International Development (USAID) in countries and at the global level. The recent 2020 progress report on TB by the UN Secretary General also highlights the importance of private sector engagement in its priority recommendations.

预览已结束, 完整报告链接和二维码如下:

https://www.yunbaogao.cn/report/index/report?reportId=5 23873

