

INTERNATIONAL HEALTH REGULATIONS (2005)

# STATE PARTY SELF-ASSESSMENT ANNUAL REPORTING TOOL



World Health  
Organization



# STATE PARTY SELF-ASSESSMENT ANNUAL REPORTING TOOL



Some rights reserved. This work is available under the Creative Commons Attribution-NonCommercial-ShareAlike 3.0 IGO licence (CC BY-NC-SA 3.0 IGO; <https://creativecommons.org/licenses/by-nc-sa/3.0/igo>).

Under the terms of this licence, you may copy, redistribute and adapt the work for non-commercial purposes, provided the work is appropriately cited, as indicated below. In any use of this work, there should be no suggestion that WHO endorses any specific organization, products or services. The use of the WHO logo is not permitted. If you adapt the work, then you must license your work under the same or equivalent Creative Commons licence. If you create a translation of this work, you should add the following disclaimer along with the suggested citation: "This translation was not created by the World Health Organization (WHO). WHO is not responsible for the content or accuracy of this translation. The original English edition shall be the binding and authentic edition".

Any mediation relating to disputes arising under the licence shall be conducted in accordance with the mediation rules of the World Intellectual Property Organization.

**Suggested citation.** International Health Regulations (2005) State Party Self-assessment Annual Reporting Tool. Geneva: World Health Organization; 2018. Licence: CC BY-NC-SA 3.0 IGO.

**Cataloguing-in-Publication (CIP) data.** CIP data are available at <http://apps.who.int/iris>.

**Sales, rights and licensing.** To purchase WHO publications, see <http://apps.who.int/bookorders>. To submit requests for commercial use and queries on rights and licensing, see <http://www.who.int/about/licensing>.

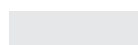
**Third-party materials.** If you wish to reuse material from this work that is attributed to a third party, such as tables, figures or images, it is your responsibility to determine whether permission is needed for that reuse and to obtain permission from the copyright holder. The risk of claims resulting from infringement of any third-party-owned component in the work rests solely with the user.

**General disclaimers.** The designations employed and the presentation of the material in this publication do not imply the expression of any opinion whatsoever on the part of WHO concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. Dotted and dashed lines on maps represent approximate border lines for which there may not yet be full agreement.

The mention of specific companies or of certain manufacturers' products does not imply that they are endorsed or recommended by WHO in preference to others of a similar nature that are not mentioned. Errors and omissions excepted, the names of proprietary products are distinguished by initial capital letters.

All reasonable precautions have been taken by WHO to verify the information contained in this publication. However, the published material is being distributed without warranty of any kind, either expressed or implied. The responsibility for the interpretation and use of the material lies with the reader. In no event shall WHO be liable for damages arising from its use.


*Design: crayon bleu - France*



# TABLE OF CONTENTS

|   |           |
|---|-----------|
| <b>RESPONDENT IDENTIFICATION</b>  | <b>6</b>  |
| <b>APPROACH ADOPTED BY STATES PARTIES FOR THE COMPLETION OF THE TOOL</b>                          | <b>6</b>  |
| <b>APPLICATION OF THE VOLUNTARY COMPONENTS OF THE IHR MONITORING AND EVALUATION FRAMEWORK</b>     | <b>6</b>  |
| <b>INSTRUCTIONS</b>   | <b>7</b>  |
| • Selection of level for each indicator   | 7         |
| • Additional comments   | 7         |
| • Examples  | 7         |
| <b>IHR STATE PARTY SELF-ASSESSMENT ANNUAL REPORTING TOOL</b>                                      | <b>8</b>  |
| • C1. Legislation and Financing   | 8         |
| • C2. IHR Coordination and National IHR Focal Point Functions                                     | 11        |
| • C3. Zoonotic events and the human–animal interface  | 13        |
| • C4. Food safety   | 14        |
| • C5. Laboratory  | 15        |
| • C6. Surveillance  | 17        |
| • C7. Human resources   | 19        |
| • C8. National Health Emergency Framework   | 20        |
| • C9. Health Service Provision  | 22        |
| • C10. Risk Communication   | 24        |
| • C11. Points of entry  | 25        |
| • Section 1. Information by type of points of entry   | 25        |
| • Section 2. Overall national profile of the implementation of core capacities at Points of Entry | 26        |
| • C12. Chemical events  | 27        |
| • C13. Radiation emergencies  | 28        |
| <b>ANNEX 1. ACRONYMS AND GLOSSARY</b>   | <b>29</b> |
| • Acronyms  | 29        |
| • Glossary: Working definitions for IHR annual reporting  | 29        |

## RESPONDENT IDENTIFICATION

|   |   |
|---|---|
| Date of report  |  |
| State party   |   |
| Name of the contact officer for this report             |   |
| Title of the contact officer for this report            |   |
| E-mail address of the contact officer for this report   |   |
| Telephone number of the contact officer for this report |   |

## APPROACH ADOPTED BY STATES PARTIES FOR THE COMPLETION OF THE TOOL

### 1. Compiled by:

- An individual Government Official       Officials representing several sectors

### 2. Sectors involved in compiling report:

- |  |   |   |  |
|--|---|---|--|
| <input type="checkbox"/> human health        | <input type="checkbox"/> fisheries                                | <input type="checkbox"/> environment      | <input type="checkbox"/> foreign affairs |
| <input type="checkbox"/> animal health       | <input type="checkbox"/> trade                                    | <input type="checkbox"/> finance          | <input type="checkbox"/> Civil Society   |
| <input type="checkbox"/> agriculture         | <input type="checkbox"/> International transport /points of entry | <input type="checkbox"/> chemical safety  | <input type="checkbox"/> Other sectors   |
| <input type="checkbox"/> disaster management | <input type="checkbox"/> tourism/ travel                          | <input type="checkbox"/> radiation safety |  |
| <input type="checkbox"/> food safety         | <input type="checkbox"/> emergency services                       | <input type="checkbox"/> labour           |  |
| <input type="checkbox"/> livestock           |   | <input type="checkbox"/> education        |  |

### 3. Consultative process:

- Via e-mail       Virtual meeting  
 Face-to-face meeting       Other

The submission of IHR Annual Reports using this tool will allow the WHO Secretariat to compile a consistent report for the WHA. Submission of reports in other formats will not be considered for statistics of capacities, since it will not allow WHO Secretariat to retrieve correctly the data and produce standardized scores. However, the use of this tool by States Parties is entirely voluntary.

## APPLICATION OF THE VOLUNTARY COMPONENTS OF THE IHR MONITORING AND EVALUATION FRAMEWORK

While annual reporting is mandatory under IHR (2005), States Parties that had undergone the voluntary components of the IHR Monitoring and Evaluation Framework, such as after-action reviews, simulation exercises or joint external evaluations, may use the results of it, to provide complementary information for their Annual Report, while using the SPAR Tool.

## INSTRUCTIONS

### SELECTION OF LEVEL FOR EACH INDICATOR

The tool has 13 capacities, each of which consists of a number of indicators. Each indicator is graded into five levels of performance to choose from in the continuum of progress. Actions or elements, called "attributes", required for each level are described, and where possible the difference from one level to the next is highlighted. Explanatory notes are given as footnotes for further clarification, as necessary, so that each attribute and the indicator as a whole are fully explained and well defined. Further information may be obtained under Annex 1 Acronyms and glossary. Therefore, **it is important that the respondents read the explanatory notes carefully before determining the level.**

For each indicator, please select one of the five levels that best describes your State Party's implementation status. To obtain the most accurate view of national capacities, it is recommended to respond to all the indicators and select one level per indicator. **If two or more levels are selected, the lowest level will be regarded as your implementation status. If you do not select any, it is regarded as no capacity exists and your final score for this indicator will be calculated as zero<sup>1</sup>.**

All attributes in one level must be in place in order to move to the next level. This means that it is a prerequisite to have all the attributes for level 1 in order to examine the attributes in level 2. If level 2 is selected, it indicates that all the attributes in level 1 and level 2 are fulfilled.

### ADDITIONAL COMMENTS

If there is no capacity at all and the answer to level 1 attribute is 'no', then all the check boxes for that indicator should be left blank and it should be indicated as 'no capacity and score to be considered zero for this indicator' and add rationale for this choice in the additional comments box.

If any attribute is not applicable in your country's context, please indicate this in the comment box provided at the end of each section along with the reason for it not being applicable. Other additional comments or contributions you may wish to make, describing actual situation, such as strengths and weaknesses, as well as actions planned or on-going to improve each specific capacity, to help plan and monitor progress in the implementation, can also be considered in the comment box. Additional pages may also be added, if required.

### EXAMPLES

Some of the examples are given below:

| Example       | Your country's implementation status  | The level that should be selected  |
|---------------|---|--|
| Example 1     | Level 1 – yes to some elements <u>but not all</u>   | No selection (no capacity and score will be "zero") <b>Irrespective of</b> the status of elements in levels 2, 3, 4 and 5 => Please indicate no capacity and score to be considered zero for this indicator' and add rationale for this choice in Additional Comments box. |
| Example 2     | Level 1 – yes to all elements<br>Level 2 – yes to some elements <u>but not all</u>  | Level 1<br><b>Irrespective of</b> the status of elements in levels 3, 4 and 5  |
| Example 2 - A | Level 1 – yes to <u>all</u> elements<br><b>Level 2 – yes to some elements but not all</b><br>Level 3 - yes to <u>all</u> elements<br>Level 4 – yes to <u>all</u> elements<br>Level 5 – yes to <u>all</u> elements | <b>Level 1</b>   |
| Example 2 - B | Level 1 – Yes to all elements<br><b>Level 2 – No information</b><br>Level 3 – yes to all elements<br>Level 4 – yes to all elements<br>Level 5 – yes to all elements   | <b>Level 1</b>   |

<sup>1</sup> For the details on the analysis, please refer to 'International Health Regulations (2005) Guidance document for the State Party Self-assessment Annual Reporting Tool (available at: <https://www.who.int/ihr/publications/WHO-WHE-CPI-2018.16/en/>).

# IHR STATE PARTY SELF-ASSESSMENT ANNUAL REPORTING TOOL

## C1. LEGISLATION AND FINANCING<sup>2</sup>

States Parties should have an adequate legal framework in all relevant sectors<sup>3</sup> to support and facilitate the effective and efficient implementation of all of their obligations and rights under the IHR. In some States Parties, IHR implementation may require new or modified legislation. Even where new or revised legislation may not be specifically required under a State Party's legal system, States Parties may still choose to revise some legislation, regulations or other instruments to facilitate their implementation and maintenance in a more efficient, effective or beneficial manner. Legislation could serve to institutionalize and strengthen the role of IHR within the State Party. It can also facilitate coordination among the different entities involved in their implementation. The IHR should serve to

institutionalize through legislative frameworks, essential public health functions to sustain the continuous preparedness process for responding to public health events. This may include a regulatory pathway for emergency research, licensing process, marketing authorization of products and procurement procedures during health emergencies and pandemics. States Parties should ensure provision of adequate funding for the implementation of IHR capacities through the national budgetary process. Budget is an itemized summary of *expected* income and expenditure of a country over a specified period, usually a financial year, whereas financing and funding refers to money which a government or organization provides for a particular purpose. In other words, budget is what is planned for, and financing is what is actually provided.

|         | <b>Indicators</b>   |                          |
|---------|---|--------------------------|
| Level   | C1.1 Legislation, laws, regulations, policy, administrative requirements or other government instruments <sup>4</sup> to implement the IHR  |                          |
| Level 1 | Legislation, laws, regulations, policy, administrative requirements or other government instruments to support and facilitate the development and implementation of IHR capacities for infectious diseases are under development  | <input type="checkbox"/> |
| Level 2 | Legislation, laws, regulations, policy, administrative requirements or other government instruments to support and facilitate the development and implementation of IHR capacities for infectious diseases are in place <sup>5</sup>  | <input type="checkbox"/> |
| Level 3 | Legislation, laws, regulations, policy, administrative requirements or other government instruments to support and facilitate the development and implementation of IHR capacities for food safety are in place <sup>6</sup>  | <input type="checkbox"/> |
| Level 4 | Country is party to key chemical multilateral agreements <sup>7</sup><br>AND<br>Chemical safety laws, regulations and policies <sup>8</sup> that contribute to chemical event prevention, preparedness, detection and response are in place at the national, intermediate and local levels as appropriate to the structure of the country | <input type="checkbox"/> |

预览已结束，完整报告链接和二维码如下：

[https://www.yunbaogao.cn/report/index/report?reportId=5\\_25902](https://www.yunbaogao.cn/report/index/report?reportId=5_25902)

