# SURGICAL CARE SYSTEMS STRENGTHENING

Developing national surgical, obstetric and anaesthesia plans





Surgical care systems strengthening: developing national surgical, obstetric and anaesthesia plans ISBN 978-92-4-151224-4

#### © World Health Organization 2017

Some rights reserved. This work is available under the Creative Commons Attribution-NonCommercial-ShareAlike 3.0 IGO licence (CC BY-NC-SA 3.0 IGO; https://creativecommons.org/licenses/by-nc-sa/3.0/igo).

Under the terms of this licence, you may copy, redistribute and adapt the work for non-commercial purposes, provided the work is appropriately cited, as indicated below. In any use of this work, there should be no suggestion that WHO endorses any specific organization, products or services. The use of the WHO logo is not permitted. If you adapt the work, then you must license your work under the same or equivalent Creative Commons licence. If you create a translation of this work, you should add the following disclaimer along with the suggested citation: "This translation was not created by the World Health Organization (WHO). WHO is not responsible for the content or accuracy of this translation. The original English edition shall be the binding and authentic edition".

Any mediation relating to disputes arising under the licence shall be conducted in accordance with the mediation rules of the World Intellectual Property Organization.

Suggested citation. Surgical care systems strengthening: developing national surgical, obstetric and anaesthesia plans. Geneva: World Health Organization; 2017. Licence: CC BY-NC-SA 3.0 IGO.

Cataloguing-in-Publication (CIP) data. CIP data are available at http://apps.who.int/iris.

Sales, rights and licensing. To purchase WHO publications, see http://apps.who.int/bookorders. To submit requests for commercial use and queries on rights and licensing, see http://www.who.int/about/licensing.

Third-party materials. If you wish to reuse material from this work that is attributed to a third party, such as tables, figures or images, it is your responsibility to determine whether permission is needed for that reuse and to obtain permission from the copyright holder. The risk of claims resulting from infringement of any third-party-owned component in the work rests solely with the user.

General disclaimers. The designations employed and the presentation of the material in this publication do not imply the expression of any opinion whatsoever on the part of WHO concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. Dotted and dashed lines on maps represent approximate border lines for which there may not yet be full agreement.

The mention of specific companies or of certain manufacturers' products does not imply that they are endorsed or recommended by WHO in preference to others of a similar nature that are not mentioned. Errors and omissions excepted, the names of proprietary products are distinguished by initial capital letters.

All reasonable precautions have been taken by WHO to verify the information contained in this publication. However, the published material is being distributed without warranty of any kind, either expressed or implied. The responsibility for the interpretation and use of the material lies with the reader. In no event shall WHO be liable for damages arising from its use.



Halfdan T. Mahler, 1923-2016

This monograph is dedicated to the memory of Halfdan T. Mahler, former Director-General of the World Health Organization. Dr Mahler had an inspiring vision to provide "Health for all". He was the first true advocate for the provision of surgical care for the many, rather than the few. We thank him for his important contribution to improving the health of patients around the world.

# **Contents**

Preface	V
Editors	vii
Contributors	vii
Acknowledgements	vii
Acronyms and abbreviations	ix
Executive summary	xi
Introduction	xiii
Part 1 - Getting a baseline	1
Defining the problem	1
Process components	2
The six core surgical care indicators	2
Data collection considerations	2
Data usage	2
Tracking and reporting	2
Ongoing surveillance	2
Case studies	3
Uganda	4
Madagascar	8
South Pacific	12

Part 2 - Facility assessments	15		
Defining the problem Process components Choosing facilities Data collection team development Data collection – Surgical Assessment Tool Data collection – Qualitative Interview Tool	15 16 16 16 16 16		
		Data interpretation	16
		Case studies	17
		Brazil	18
		Viet Nam	22
		Part 3 - National planning	27
Defining the problem	27		
Process components	28		
Committee development	28		
Discussion Framework	28		
Integration into current health strategy	28		
National surgical, obstetric and anaesthesia forums	28		
Costing	28		
Monitoring and evaluation plan	28		
Case studies	29		
Zambia	30		
Ethiopia	33		
India	38		
Summary and way forward	43		
Annexes	45		
1: The Six Core Surgical Care Indicators	45		
2: Surgical Assessment Tool (SAT)	45		
3: Qualitative Interview Tool	45		
4: National Surgical, Obstetric and Anaesthesia Plan Template	45		
5: Discussion Framework	45		

## **Preface**

Clinical conditions requiring surgical, obstetric and anaesthesia services amount to approximately one third of the global disease burden, yet more than two-thirds of the world's population does not have access to safe, timely and affordable surgical and anaesthesia care. In the era of the Sustainable Development Goals (SDGs), this discrepancy is no longer acceptable. To "ensure healthy lives and promote well-being for all at all ages" (SDG 3), surgery must clearly form one of the critical components of public health and universal health coverage.

In 2015, an effort by all WHO Member States led to the unanimous adoption of World Health Assembly resolution 68.15. This resolution calls for a strengthening of emergency and essential surgical and anaesthesia services as a part of universal health coverage. The passing of this resolution sent a clear message: surgery is an indispensable part of health care. Since then, there has been an unprecedented interest within governments, ministries, professional societies, and on-the-ground clinicians to lead efforts to increase surgical, obstetric and anaesthesia care in their countries. These efforts are using facility- and country-level data to drive health policy. Ultimately, they are culminating in the development of national surgical, obstetric and anaesthesia plans, which are critical to ensuring country-wide implementation and scale.

In response to multiple requests, WHO has compiled a modular process algorithm with corresponding process components to enable countries to develop their specific plans. However, each setting requires a plan specifically designed to their immediate context, making every plan unique. To illustrate the variety of possible processes, we have compiled several case studies from a number of countries, each at different stages within their own algorithms. Representatives from these countries have presented the key challenges and tips for other countries pursuing similar efforts. So far, based on the gathered case studies, two principal components seem to favour success: broad, dynamic stakeholder engagement and strong ministry of health leadership.

We hope that this publication serves as a useful roadmap for countries to successfully address the gap of the five billion people around the world who currently lack access to timely, safe, and affordable surgical, obstetric and anaesthesia care.

Marie-Paule Kieny, PhD Assistant Director-General Health Systems and Innovation World Health Organization, Geneva

## **Editors**

Walter Johnson, Yihan Lin, Swagoto Mukhopadhyay, John Meara

## **Contributors**

#### **Contributors to individual case studies**

**Brazil** – Julia Amundson, Saurabh Saluja, Vitor Moutinho, José Emerson dos Santos Souza, Rodrigo Vaz Ferreira, Nivaldo Alonso

**Ethiopia** – Kesetebirhan Admasu, Daniel Burssa, Abebe Bekele, Andualem Beyene, Kaya Garringer, Atlibachew Teshome, Abraham Endeshaw

**India** – Brian Till, Saurabh Saluja, Nakul Raykar, Siddarth David, Nandakumar Menon, Gita Mody, Jesudian Gnanaraj, Salim Afshar, Nobhojit Roy

**Madagascar** – Michelle White, Hery Andriamanjato, Mark Shrime

**South Pacific Region** – Glenn Guest, Viliami Tangi, David Watters

Saurabh Saluja, Kee Park, Nakul Raykar and Laura Pearson.

Uganda – Katherine Albutt, Didacus Namanya, Geoffrey Anderson, Peter Kayima

**Viet Nam** – Duong Anh Vuong, Kristin Hatcher, Ruben Ayala, Barclay Stewart, Duc Hai Thi Nguyen, Thang Duc Nguyen, Khoa Trong Nguyen, Richard Vander Burg, Khue Ngoc Luong

**Zambia** – Emmanuel Makasa, Kennedy Lishimpi, Yihan Lin, Swagoto Mukhopadhyay

# **Acknowledgements**

This publication is the result of a collaboration between the World Health Organization and the Program in Global Surgery and Social Change at Harvard Medical School. We would like to thank the case study contributors who have made this publication possible. We would also like to thank the following people for providing peer review and editorial expertise:

## **Acronyms and abbreviations**

ASEAN Association of Southeast Asian Nations
FMOH (Ethiopian) Federal Ministry of Health

**FRP** financial risk protection

**HSDP** (Ethiopian) Health Sector Development Plan**HSTP** (Ethiopian) Health Sector Transformation Plan

LCoGS Lancet Commission on Global SurgeryLMIC low- and middle-income countryMDG Millennium Development Goal

**MOH** Ministry of Health

**NHPSP** national health policy, strategy and plans

NSOAF national surgical, obstetric and anaesthesia forumNSOAP national surgical, obstetric and anaesthesia planPGSSC Harvard Program in Global Surgery and Social Change

**PISA** Pacific Islands Surgical Association

**POMR** perioperative mortality rate

RACS Royal Australasian College of Surgeons SAO surgical, anaesthetic and obstetric

**SAT** Surgical Assessment Tool

**SDG** Sustainable Development Goal

#### 预览已结束,完整报告链接和二维码如下:

https://www.yunbaogao.cn/report/index/report?reportId=5 26308

