## EVALUATING HOUSEHOLD WATER TREATMENT OPTIONS:

Health-based targets and microbiological performance specifications



# EVALUATING HOUSEHOLD WATER TREATMENT OPTIONS:

Health-based targets and microbiological performance specifications



### WHO Library Cataloguing-in-Publication Data

Evaluating household water treatment options: health-based targets and microbiological performance specifications.

1. Potable water. 2. Water treatment. 3. Water purification. 4. Water quality. 5. Water supply. 1. World Health Organization.

ISBN 978 92 4 154822 9 (NLM classification: WA 675)

### © World Health Organization 2011

All rights reserved. Publications of the World Health Organization are available on the WHO web site (http://www.who.int) or can be purchased from WHO Press, World Health Organization, 20 Avenue Appia, 1211 Geneva 27, Switzerland (tel.: +41 22 791 3264; fax: +41 22 791 4857; e-mail: bookorders@who.int).

Requests for permission to reproduce or translate WHO publications – whether for sale or for noncommercial distribution – should be addressed to WHO Press through the WHO web site (http://www.who.int/about/licensing/copyright\_form/en/index.html).

The designations employed and the presentation of the material in this publication do not imply the expression of any opinion whatsoever on the part of the World Health Organization concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. Dotted lines on maps represent approximate border lines for which there may not yet be full agreement.

The mention of specific companies or of certain manufacturers' products does not imply that they are endorsed or recommended by the World Health Organization in preference to others of a similar nature that are not mentioned. Errors and omissions excepted, the names of proprietary products are distinguished by initial capital letters.

All reasonable precautions have been taken by the World Health Organization to verify the information contained in this publication. However, the published material is being distributed without warranty of any kind, either expressed or implied. The responsibility for the interpretation and use of the material lies with the reader. In no event shall the World Health Organization be liable for damages arising from its use.

Printed in France

design and layout: paprika-annecy.com

## **TABLE OF CONTENTS**

Ac	knowledgements	iv
Lis	t of acronyms and abbreviations	v
1.	Introduction	1
2.	Health-based performance targets	2
	2.1 Target pathogens	3
	2.2 Derivation of targets	3
	2.3 Tiered approach	3
3.	Establishing health-based performance targets	6
	3.1 General approach and principles	6
	3.2 Default health-based microbiological performance targets	6
	3.3 Testing protocols	7
Re	ferences	9
<b>А</b> р	pendix 1. Derivation of microbiological performance targets	15
	ppendix 2. Technology-specific testing protocols for evaluation of usehold water treatment performance	21
	ppendix 3. Additional factors to consider in national environmental hnology verification programmes	54
Аp	pendix 4. Basis for use of QMRA	58

## **ACKNOWLEDGEMENTS**

The preparation of this document involved the important work of a variety of technical and scientific experts and stakeholders over several years. The document was authored by Professor Mark Sobsey (University of North Carolina, United States of America [USA]) and Dr Joe Brown (London School of Hygiene and Tropical Medicine, England).

Mr Bruce Gordon (World Health Organization [WHO]) and Dr Maggie Montgomery (WHO) coordinated the development of this work for WHO. Strategic direction was provided by Mr Robert Bos (Coordinator, Water, Sanitation, Hygiene and Health Unit) and Dr Jamie Bartram (formerly WHO; now University of North Carolina).

An international group of over 30 experts from developing and developed countries contributed to the development of this document through participation at workshops, peer review and provision of insights and text. These include:

Professor Feroze Ahmed, Bangladesh University of Engineering and Technology, Bangladesh

Ms Nikki Beetsch, NSF International, USA

Ms Katherine Bliss, Department of State, USA

Mr Thomas Bruursema, NSF International, USA

Mr Chee Keong Chew, WHO, Switzerland

Dr Thomas Clasen, London School of Hygiene and Tropical Medicine, England

Dr David Cunliffe, Department of Health, Australia

Professor Karina Yew-Hoong Gin, National University, Singapore

Professor Stephen Gundry, University of Bristol, England

Mr Han Heijnen, H&E Associates, Uganda

Mr Frank Husson Jr, Solar Solutions, USA

Mr Masaki Itoh, WHO, Switzerland

Professor H.E. Jianzhong, National University, Singapore

Dr Richard Johnston, Swiss Federal Institute of Aquatic Science and Technology (EAWAG), Switzerland

Dr Shoichi Kunikane, University of Shizuoka, Japan

Ms Daniele Lantagne, Harvard University, USA

Mr Pat Lennon, PATH, USA

Dr Karen Levy, Emory University, USA

Ms Page Martin, University of Illinois, USA

Dr Regula Meierhofer, EAWAG, Switzerland

Dr Susan Murcott, Massachusetts Institute of Technology, USA

Mr Paul Osborn, 300 in 6, the Netherlands

Mr Will Oswald, Emory University, USA

Dr Susan Petterson, Water and Health Policy, Ltd, Australia

Mr Federico Properzi, WHO, Switzerland

Dr Regu Regunathan, Regunathan & Associates, Inc., USA

Dr Stephen Schaub, formerly Environmental Protection Agency, USA

Mr Oliver Schmoll, German Federal Environment Ministry (formerly WHO, Switzerland)

Dr Nimish Shah, Unilever, India

Professor Thor-Axel Stenstrom, Swedish Institute for Infectious Disease Control, Sweden

Dr Peter Teunis, National Institute for Public Health and the Environment (RIVM), the Netherlands

Ms Pauli Undesser, Water Quality Association, USA

Mr Dano Wilusz, Department of State, USA

In addition, the final review of this document by the independent group of experts in the WHO Drinking Water Quality Committee is much appreciated.

Ms Marla Sheffer of Ottawa, Canada, was responsible for editing this document, and Ms Penny Ward provided secretarial and administrative support throughout the document development process and to individual meetings and workshops.

WHO gratefully acknowledges the primary financial and technical support provided by the United States Department of State. WHO is also thankful for the additional support provided by the Australian Agency for International Development; the Ministry of Health, Labour and Welfare of Japan; and the Ministry of Environment and Water Resources of Singapore.

## LIST OF ACRONYMS AND ABBREVIATIONS

AIDS acquired immunodeficiency syndrome
ANSI American National Standards Institute

DALY disability-adjusted life year

DNA deoxyribonucleic acid

EAWAG Swiss Federal Institute of Aquatic Science and Technology

EPA Environmental Protection Agency (USA)
ETV environmental technology verification
GDWQ Guidelines for drinking-water quality
HIV human immunodeficiency syndrome

HWT household water treatment
MPN most probable number

NSF NSF International

NTU nephelometric turbidity unit

pl isoelectric point

QMRA quantitative microbial risk assessment

RNA ribonucleic acid SODIS solar disinfection

TSC tryptose-sulfite-cycloserine
USA United States of America

USEPA United States Environmental Protection Agency

UV ultraviolet

WHO World Health Organization

YLD years of life lived with disability due to illness

YLL years of life lost due to mortality

## 1. INTRODUCTION

Household water treatment (HWT) interventions may play an important role in protecting public health where existing water sources, including those delivered via a piped network or other improved sources, are untreated, are not treated properly or become contaminated during distribution or storage (UNICEF & WHO, 2009).

HWT applications are any of a range of technologies, devices or methods employed for the purposes of treating water at the household level or at the point of use in other settings, such as schools, health-care facilities and other community locations. Point-of-use water treatment is another term used for HWT. Proper household storage, including use of closed or narrow-necked containers to prevent contact with contaminated hands, is an essential component of household water management, but is not the focus of this document.

Properly formulated and locally relevant performance specifications are needed to protect users and inform decision-making regarding selection of technologies or approaches. This document provides a basis by which to evaluate the microbiological performance of HWT options by:

- establishing a series of health-based microbiological performance targets, ranging from an interim target to highly protective, to encourage incremental improvements in water safety (sections 2 and 3 and Appendix 1); and
- providing guidance to inform the development of new HWT testing protocols or supplement existing protocols (Appendix 2).

It also describes additional factors, including:

- those pertaining to national-level technology evaluation or verification programmes (Appendix 3); and
- justification for use of quantitative microbial risk assessment (QMRA) and performance targets for three classes of pathogens (Appendix 4).

These microbiological performance targets and testing protocols are intended to inform implementers, protect users and encourage technology development by providing a risk-based framework to assess the performance of HWT interventions.

预览已结束,完整报告链接和二维码如下:

https://www.yunbaogao.cn/report/index/report?reportId=5\_28700

